

# Invariant Generation for Parametrized Systems using Self-Reflection

Alejandro Sánchez<sup>1</sup>

Sriram Sankaranarayanan<sup>2</sup>

César Sánchez<sup>1,3</sup>

Bor-Yuh Evan Chang<sup>2</sup>

<sup>1</sup>IMDEA Software Institute, Spain

<sup>2</sup>University of Colorado, USA

<sup>3</sup>Spanish Council for Scientific Research (CSIC), Spain

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# Motivation

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$P_1$

$P_2$

$\dots$

$P_N$

- ▶ Consider a **finite but unbounded** set of processes

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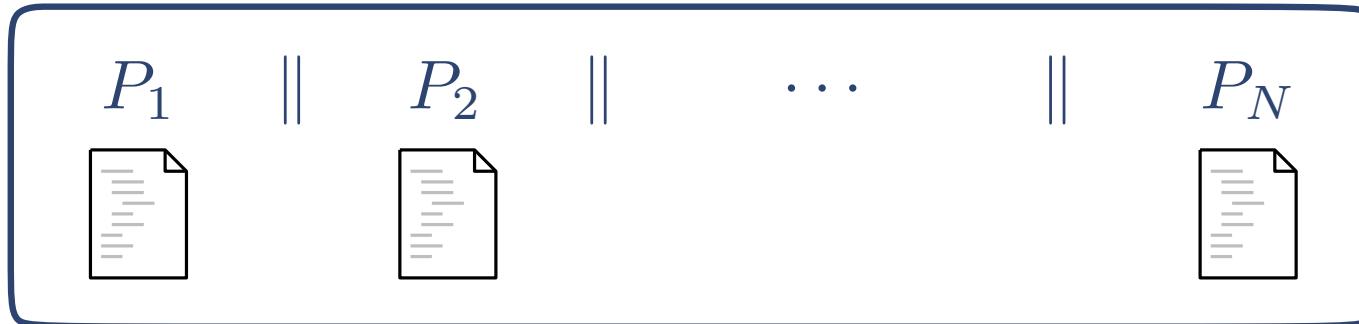
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- ▶ Running the **same program** in **parallel**

# Motivation



- ▶ Consider a **finite but unbounded** set of processes
- ▶ Running the **same program** in **parallel**
- ▶ Classical scenarios include:
  - ▶ Device drivers
  - ▶ Distributed algorithms
  - ▶ Concurrent datastructures
  - ▶ Robotic swarms
  - ▶ Biological Systems

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## GOAL : Automatic Infer Parametrized Invariants

- ▶ Consider a **finite but unbounded** set of processes
- ▶ Running the **same program** in **parallel**
- ▶ Classical scenarios include:
  - ▶ Device drivers
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  - ▶ Able to reason over **parametrized programs**
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- ▶ **Adapting** existing invariant synthesis techniques
- ▶ **Leveraging** off-the-shelf sequential invariant generators

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## In this talk

- ▶ Introduce the notion of **Reflective Abstraction**
- ▶ Report first **empirical** evaluation

# Motivating Example: WORKSTEALING

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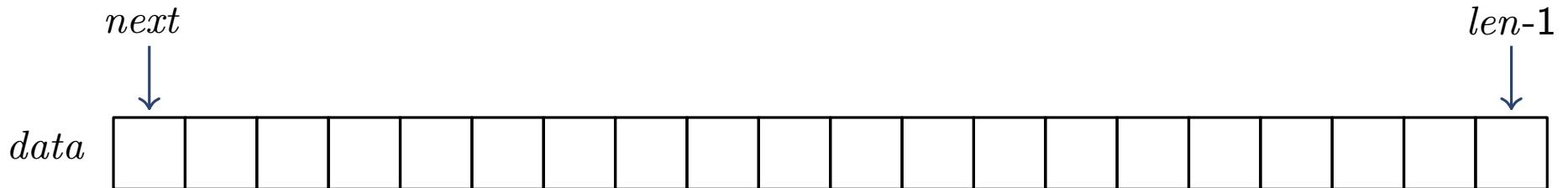
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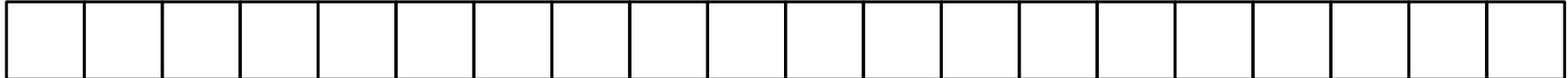
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*next*

*data*



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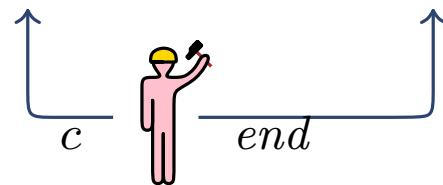
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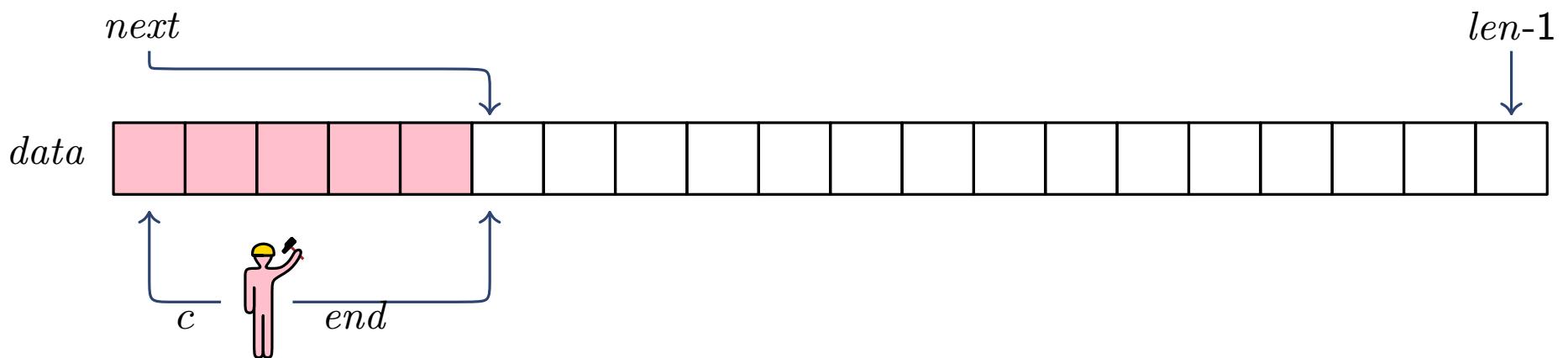
*data*

*len-1*



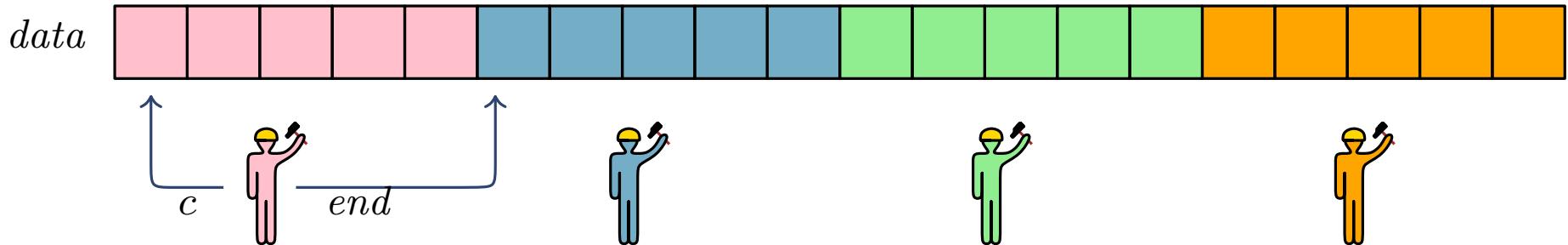
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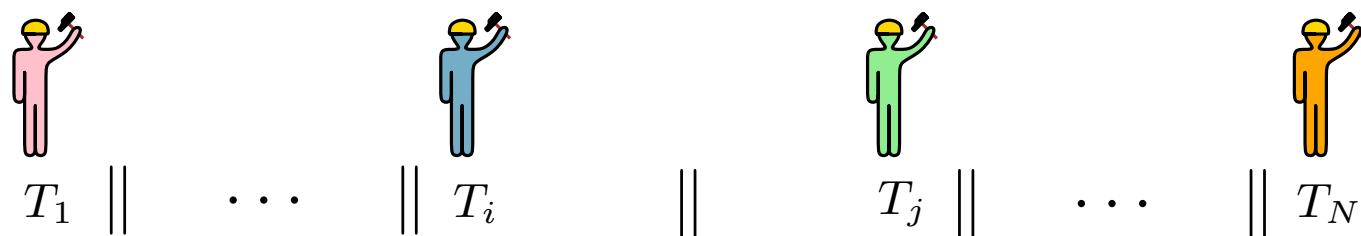
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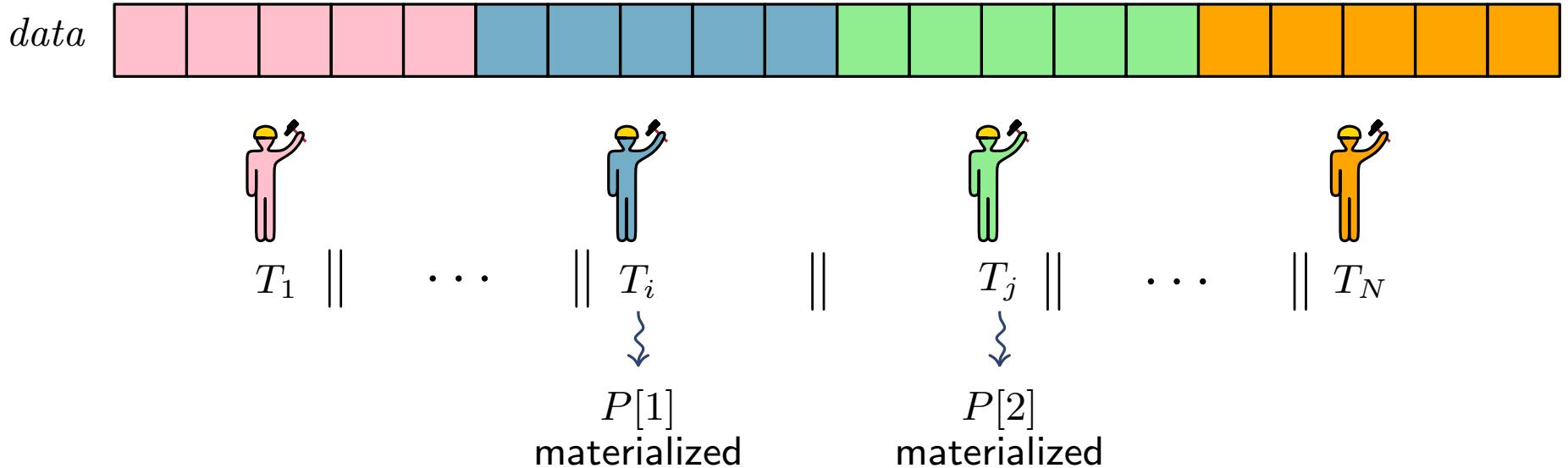
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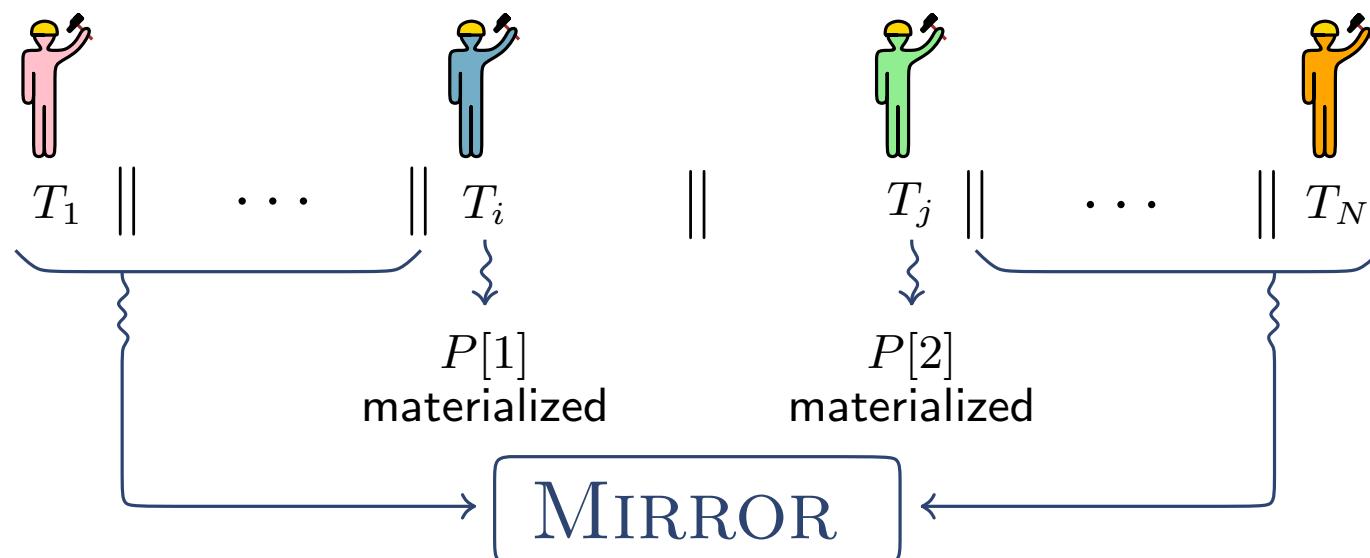
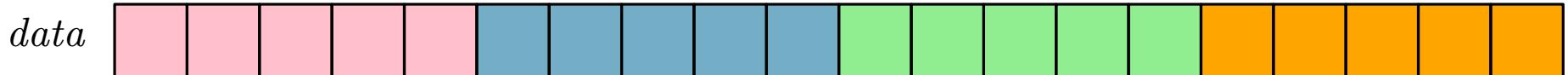
As example, for a 2-indexed invariant



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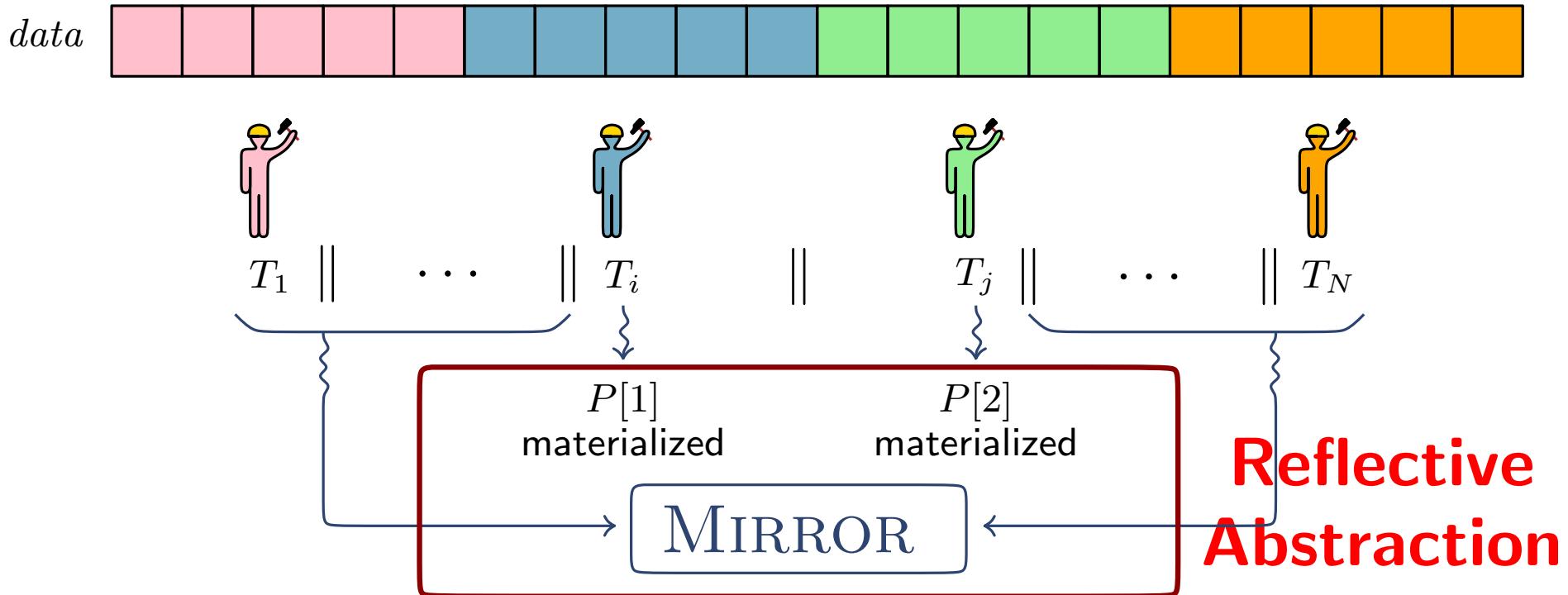
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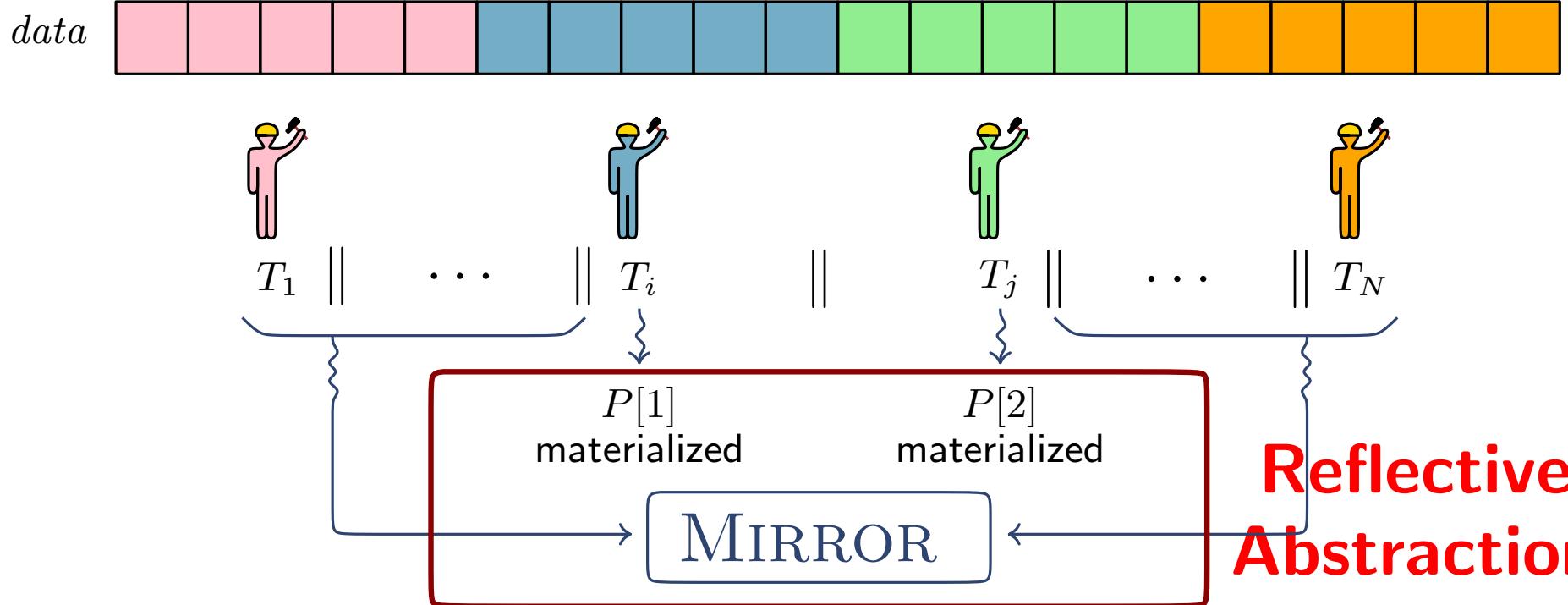


# Motivating Example: WORKSTEALING

## Invariant examples

## # Mat. Threads

$\Psi_0$	$: \quad next \bmod 5 = 0$	0
$\Psi_1$	$: \quad (\forall i) \bullet 0 \leq c[i] < len$	1
$\Psi_2$	$: \quad (\forall i_1, i_2) \bullet c[i_1] \neq c[i_2]$	2



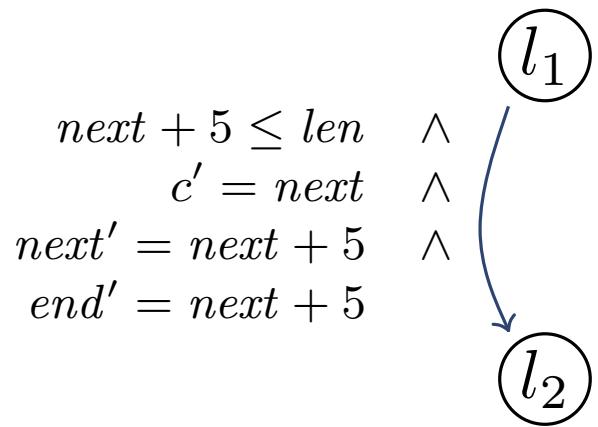
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Materialized

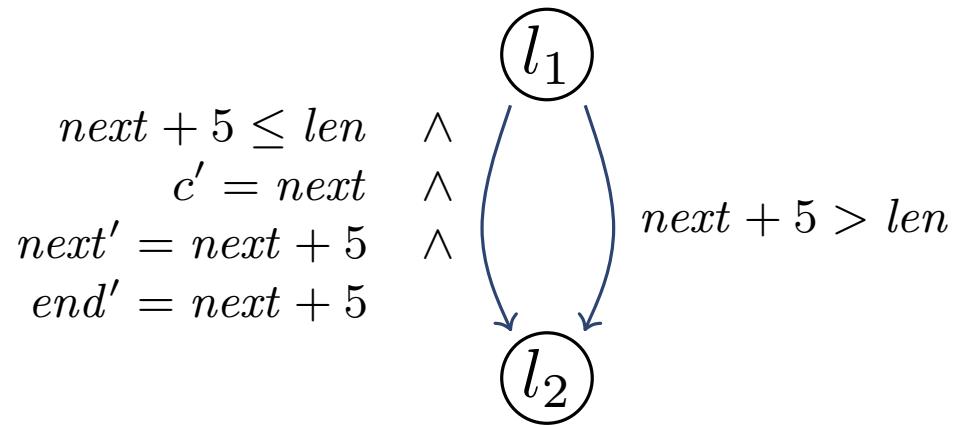
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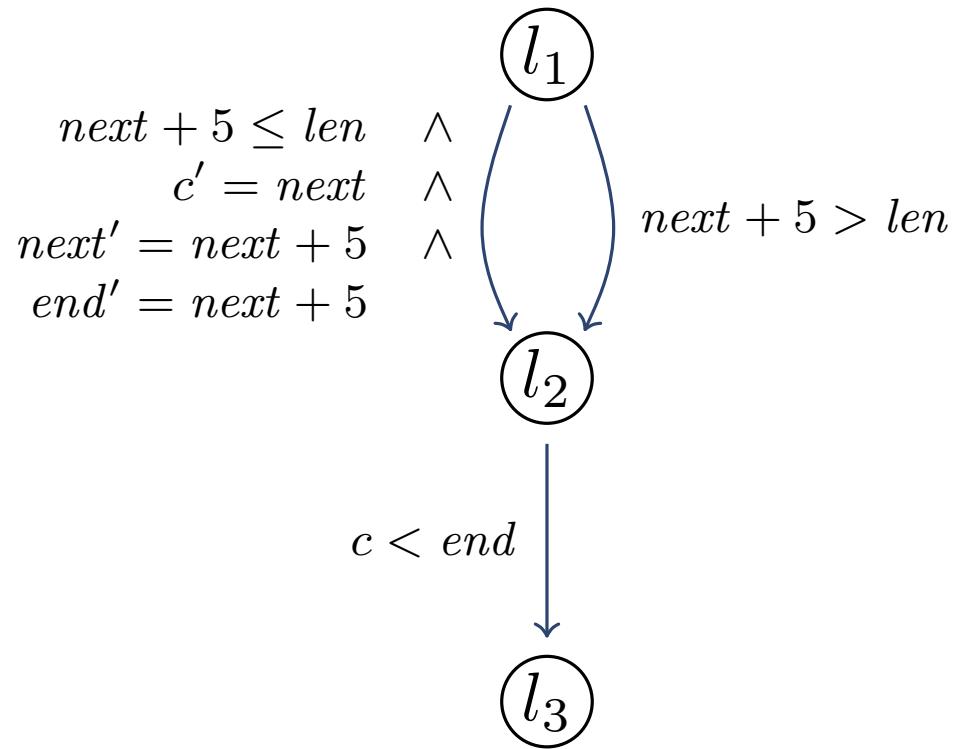
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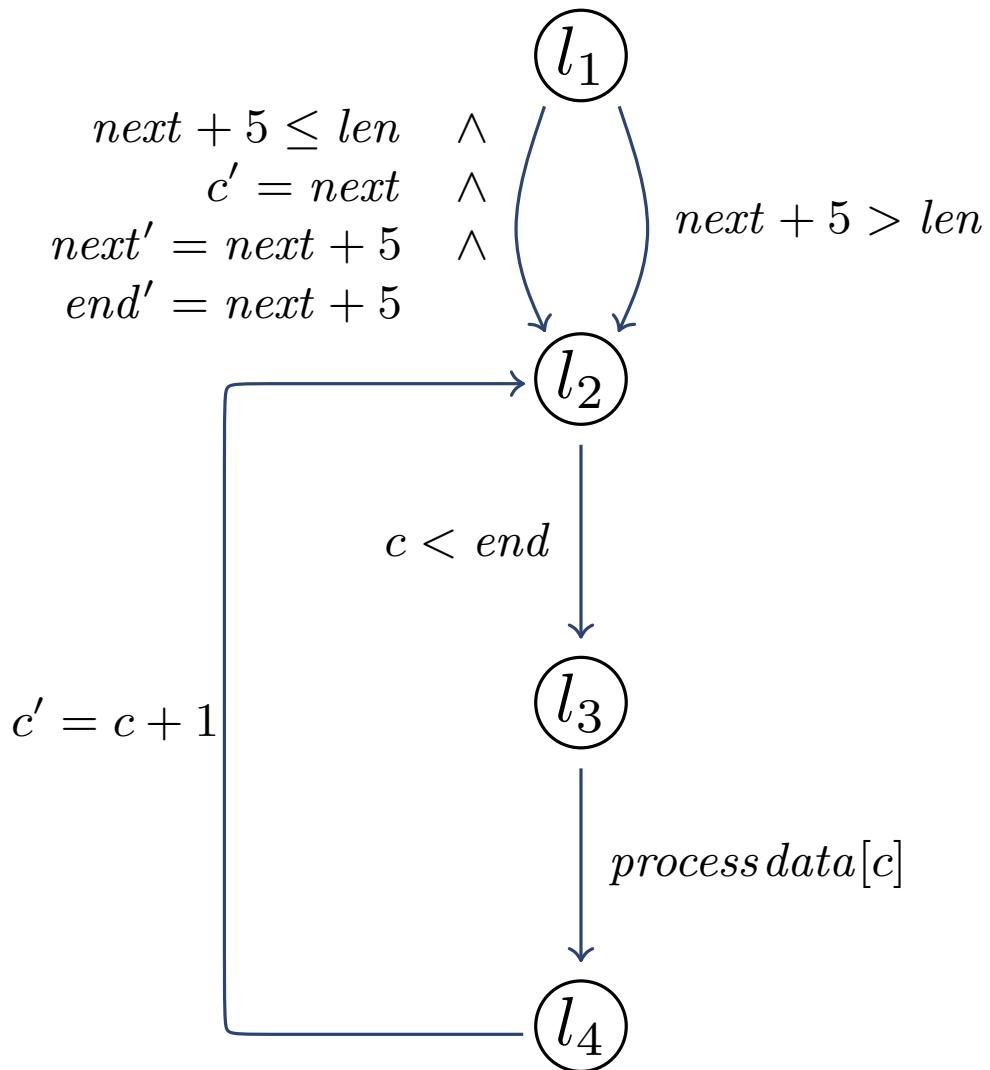
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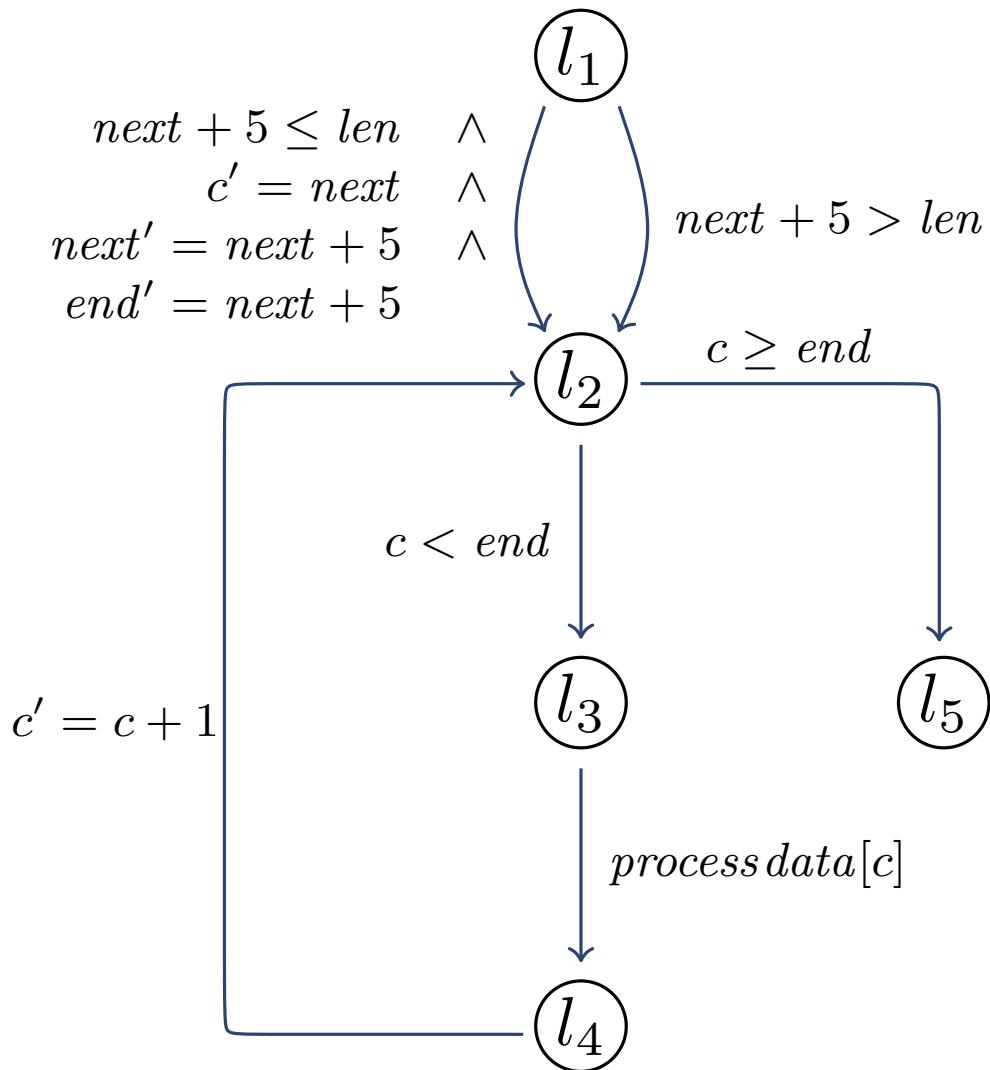
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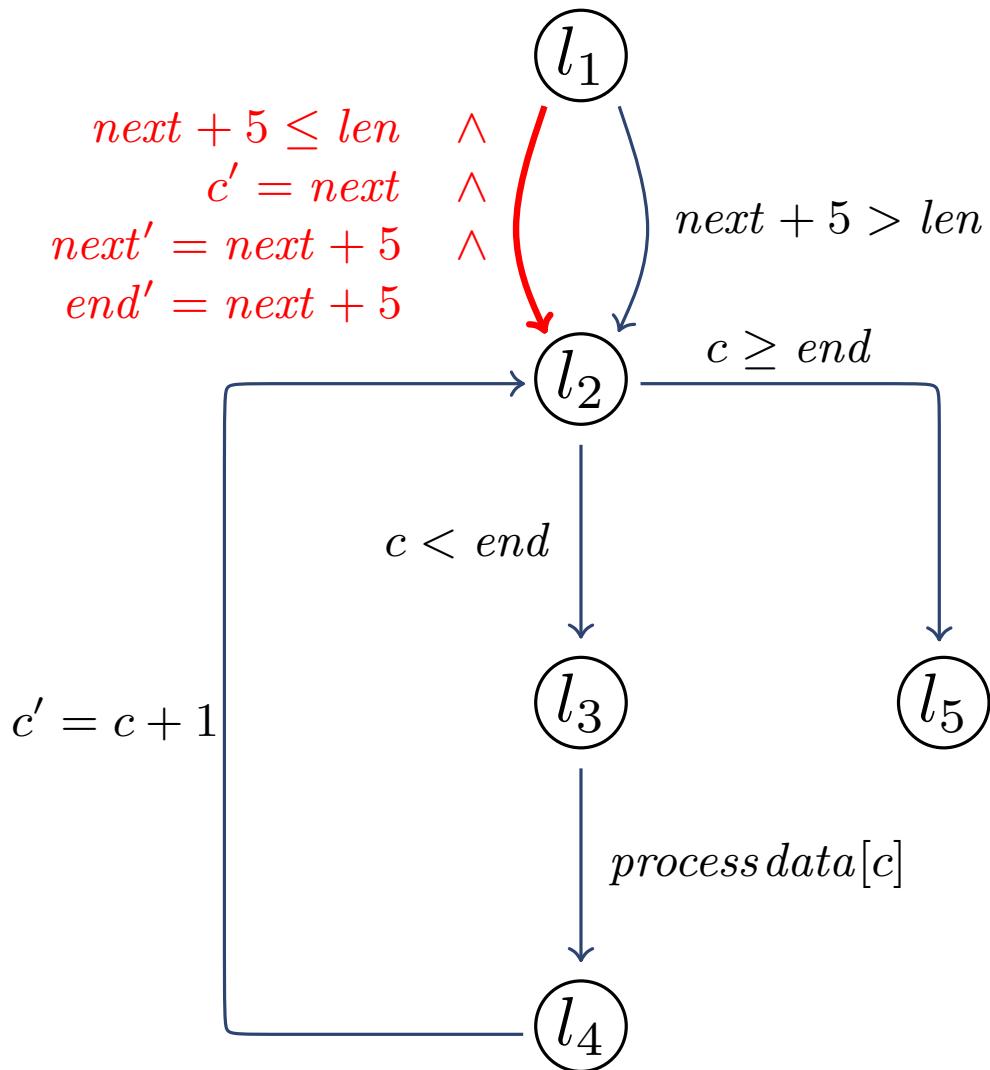
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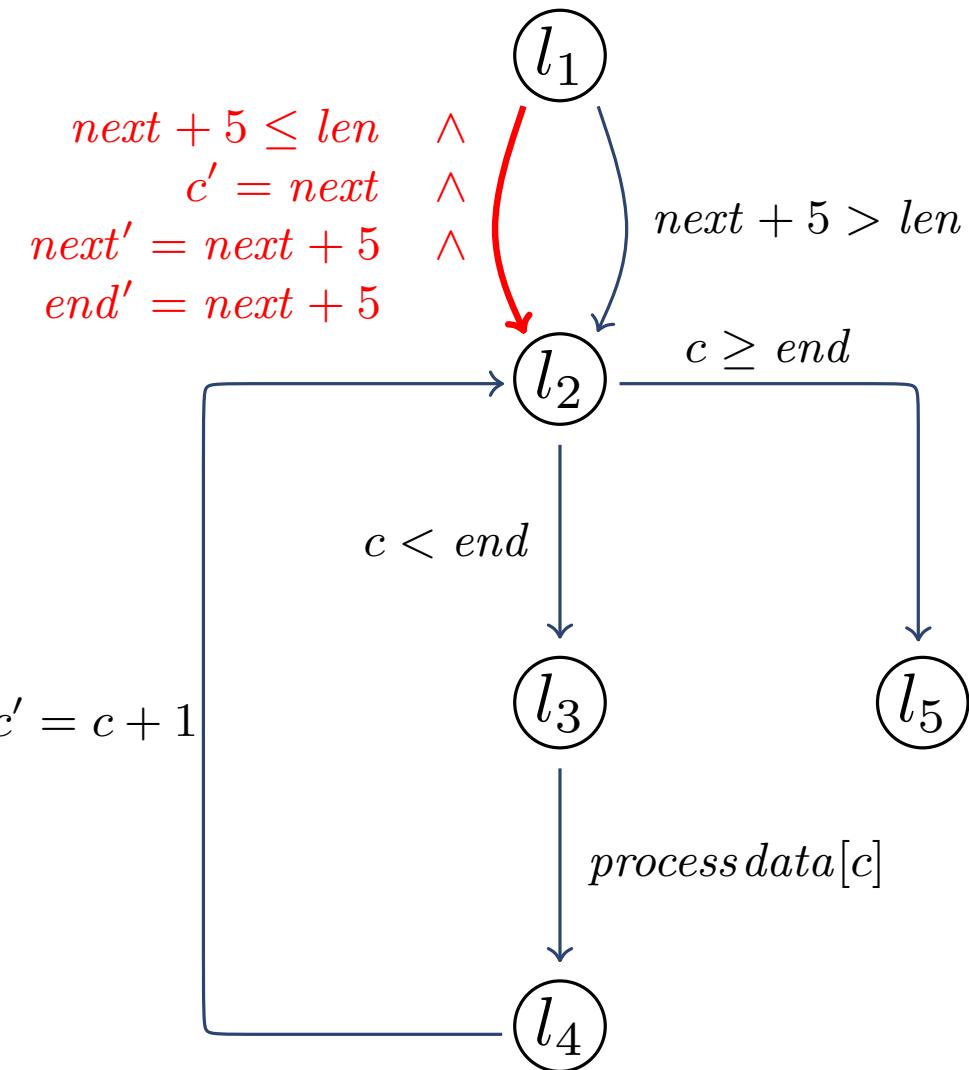
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Transition modifying  
shared variable  $next$

# Reflective Invariants in a Nutshell

Materialized



MIRROR

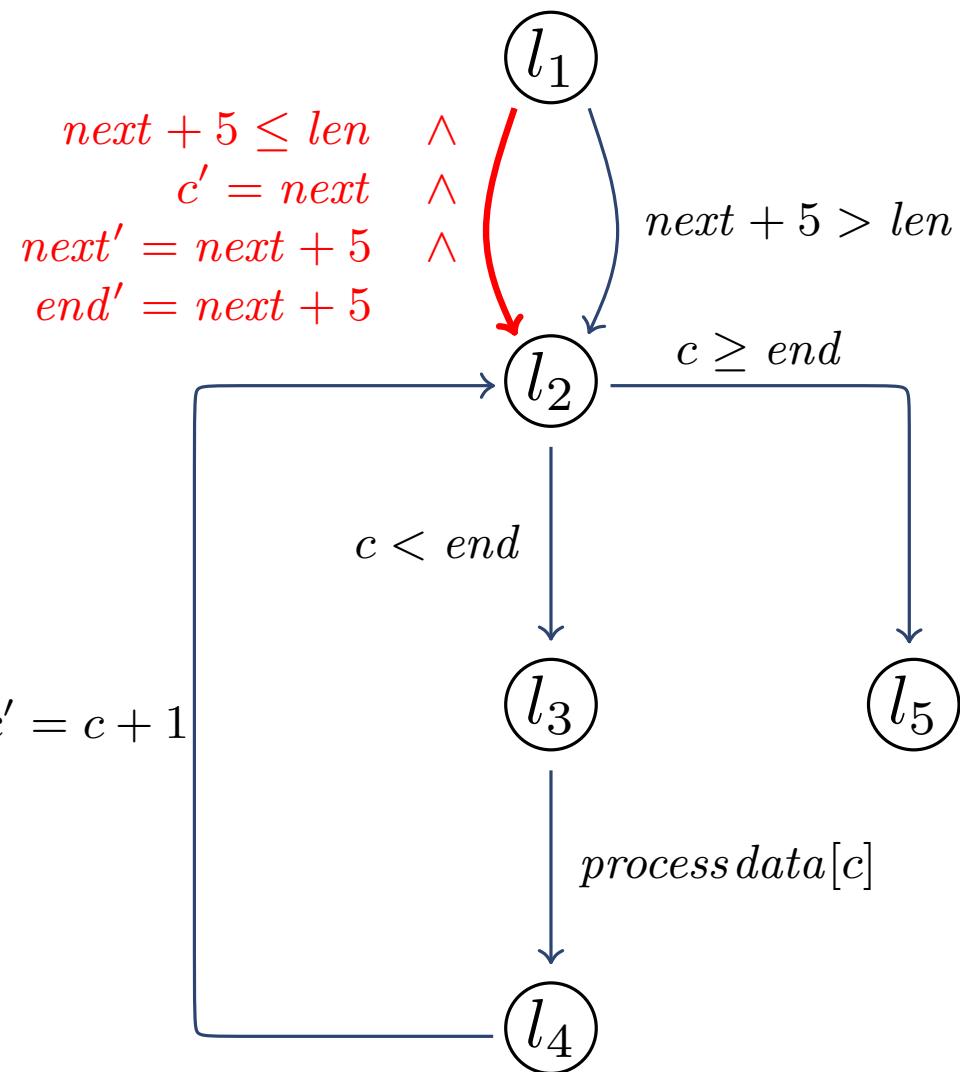
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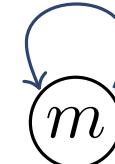
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MIRROR

$$\left( \exists \begin{array}{l} c, \text{end}, \\ c', \text{end}' \end{array} \right)$$

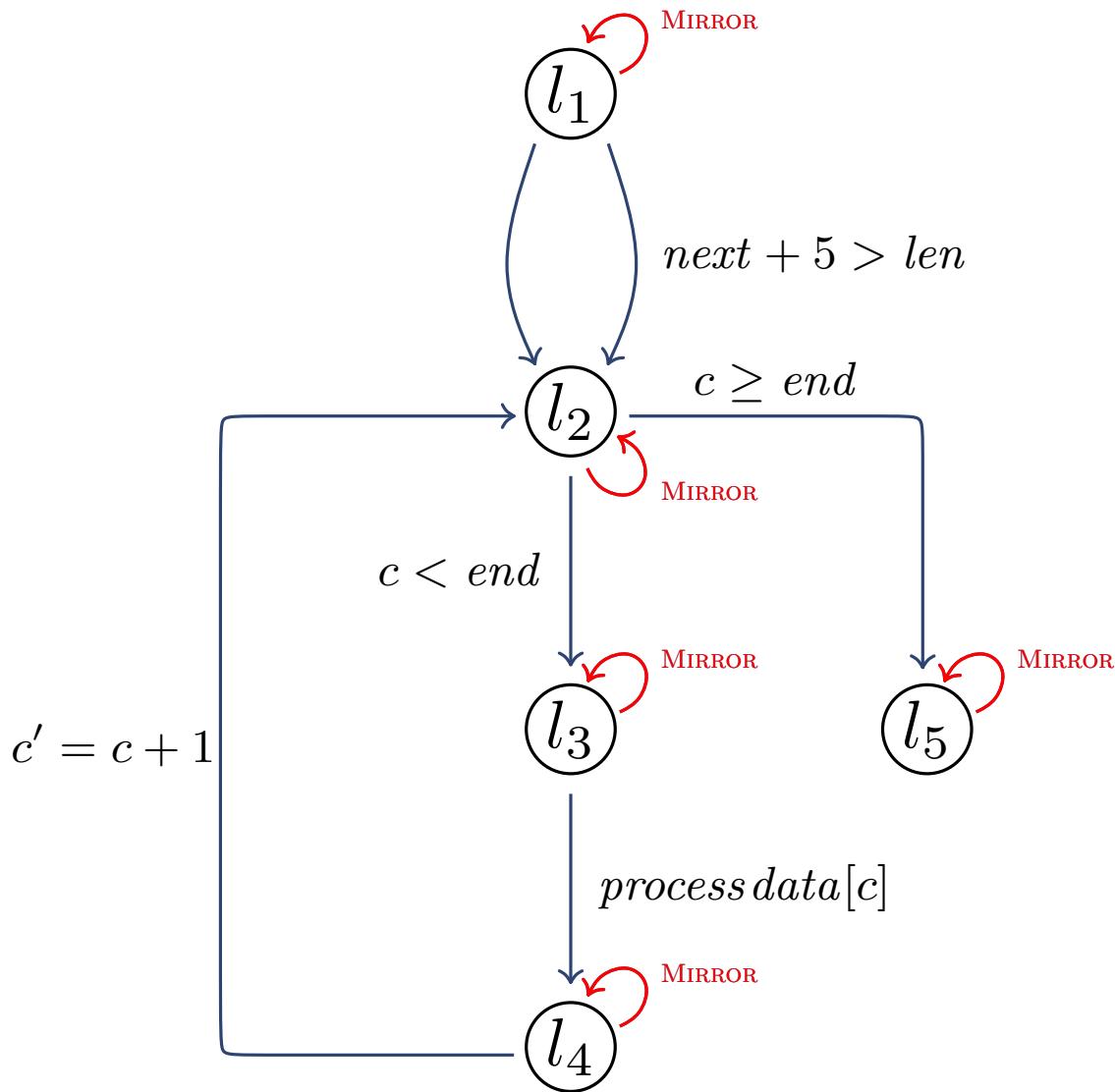
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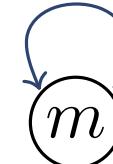
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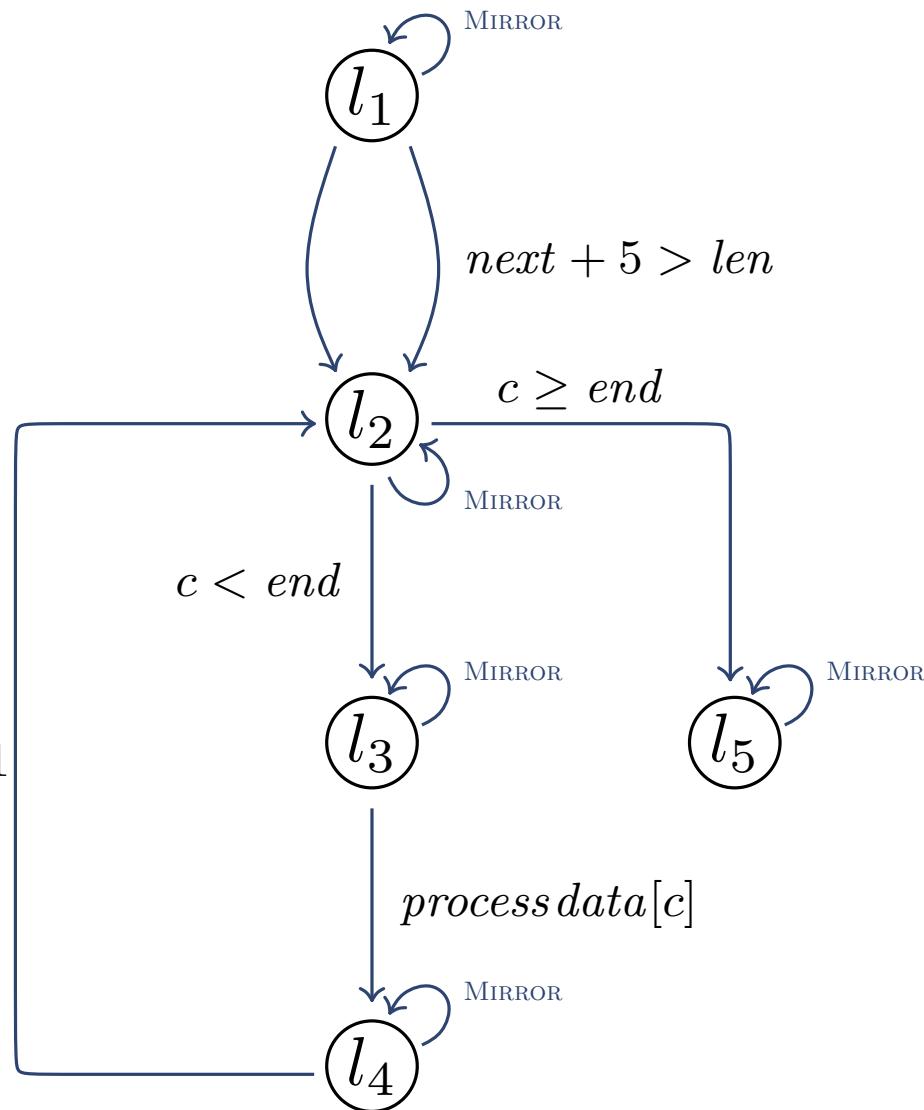
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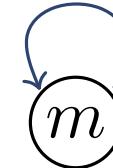
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MIRROR

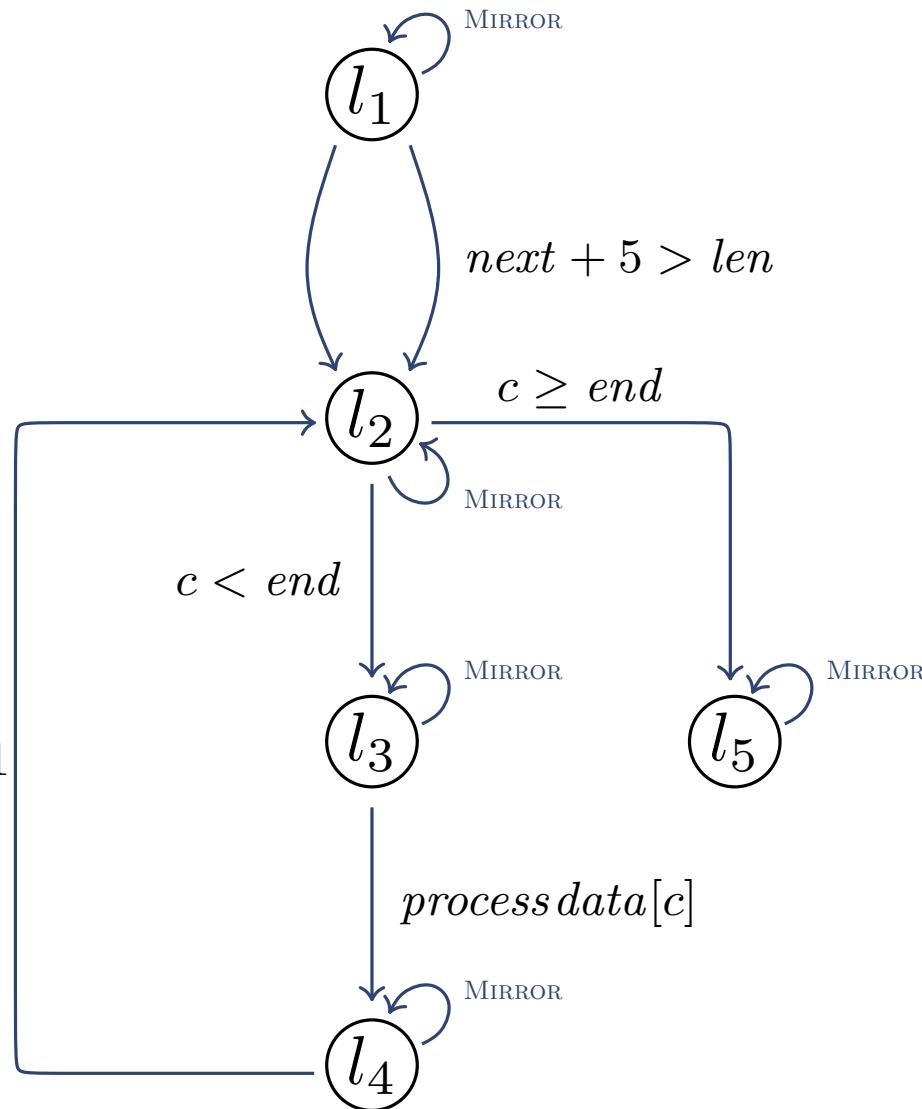
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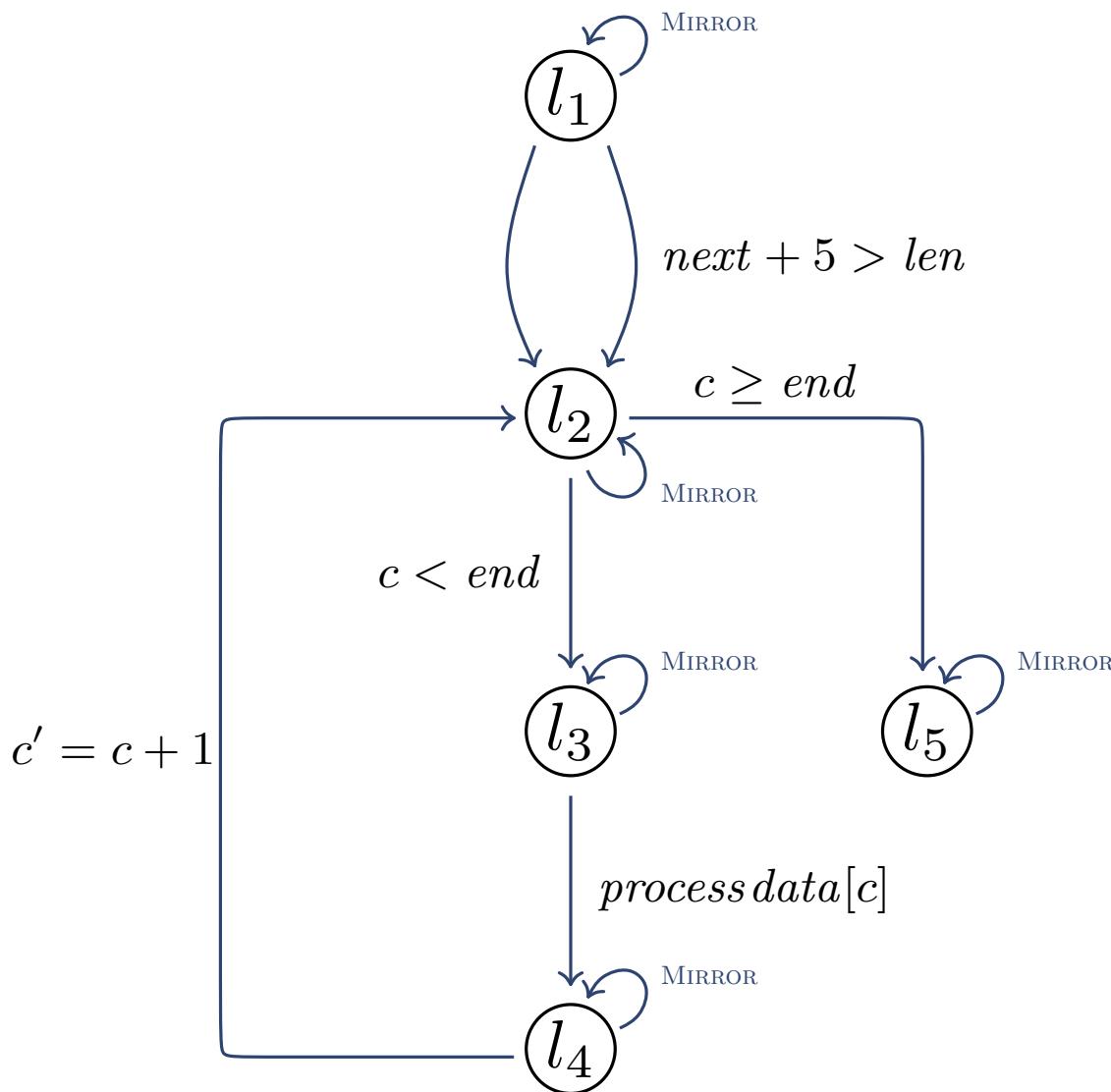
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Over approximation  
True

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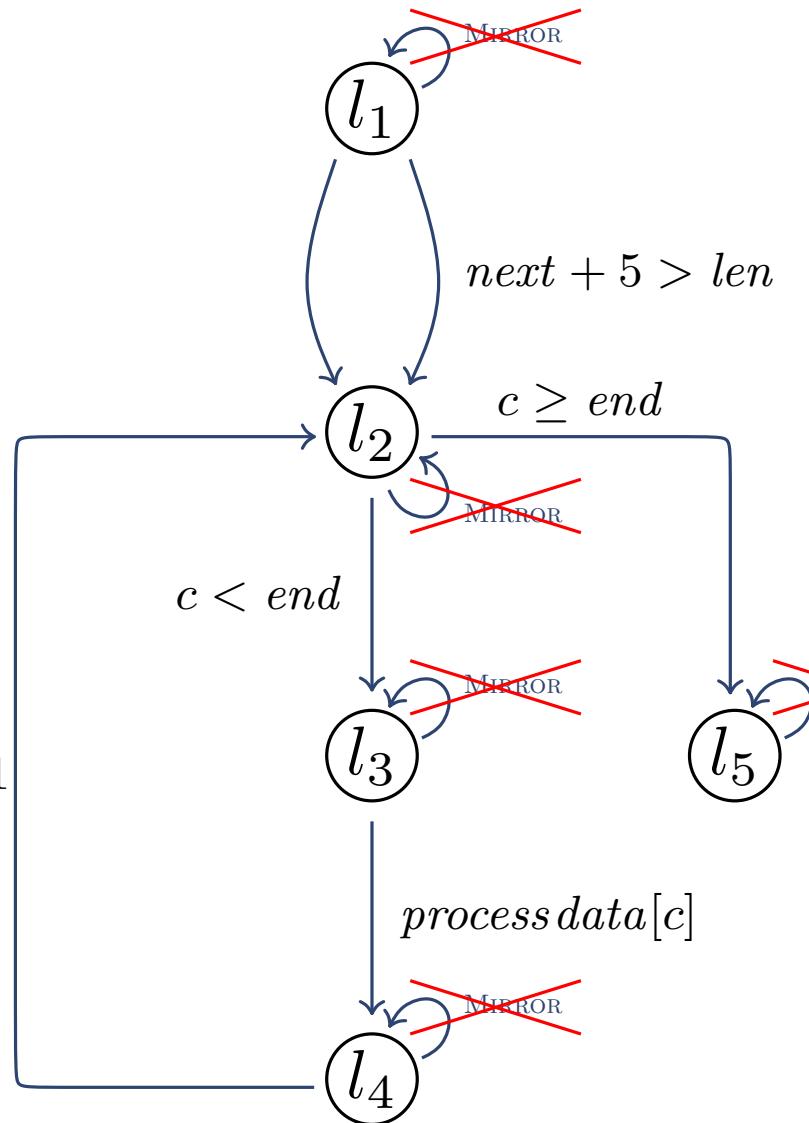
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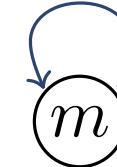
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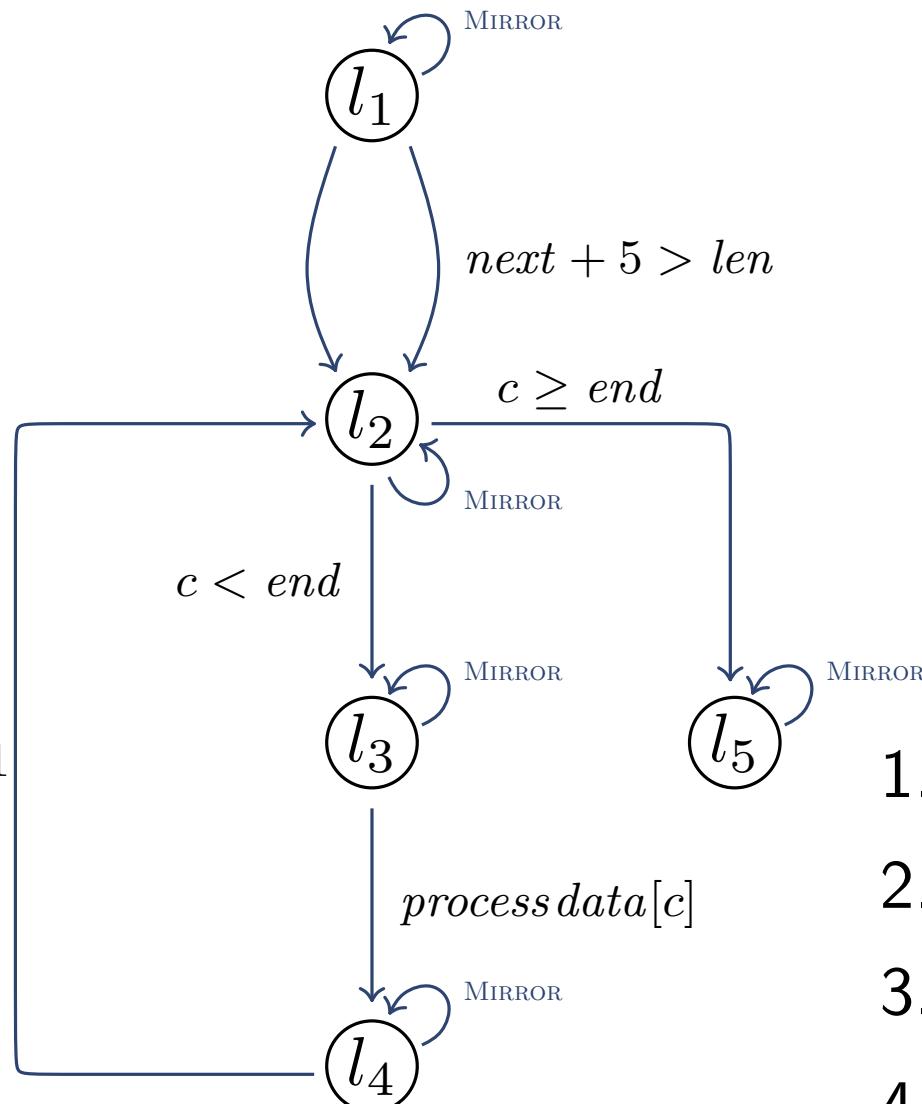
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1. Begin,  $\forall l \in Loc, Inv_1(l) = false$
2. Compute  $\Sigma_j$  using  $Inv_j$
3. Obtain  $Inv_{j+1} = AbsInt(\Sigma_j)$
4. Stop when  $\forall l \in Loc,$   
 $Inv_{j+1}(l) \sqsubseteq Inv_j(l)$

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- ▶ We define as usual:
  - ▶ Parametrized Transition System:  $\Pi = \langle G, X, \text{Trs}, l_0, \Theta \rangle$
  - ▶ Transition:  $\tau = \langle l_{src}, l_{dst}, \rho \rangle$
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Let  $\Gamma[X]$  be some fixed first-order language of assertions

- ▶ An **assertion map**  $\eta : Loc \rightarrow_{fin} \Gamma[X]$  is **inductive** when:
  1.  $\Theta \rightarrow \eta(l_0)$
  2. for any  $\tau : \langle l_{src}, l_{dst}, \rho \rangle$ ,  $\tau(\eta(l_{src})) \rightarrow \eta(l_{dst})$

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In formal verification, to show  $\Psi$ ,  
we seek an inductive assertion map  $\eta$  s.t.

$$\forall l \in Loc, \quad \eta(l) \models \Psi$$

# Reflective Abstraction and Inductive Invariants

Given  $\Pi = \langle G, X, Loc, Trs, l_0, \Theta \rangle$  and assertion map  $\eta$ , we define:

REFLECT $_{\Pi}(\eta)$

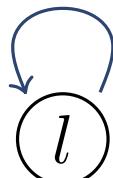
as a sequential transition system with:

variables :  $G \cup X$

locations :  $Loc$

transitions :  $Trs \cup \underbrace{\{MIRROR(\tau, \eta, l) \mid \tau \in Trs \text{ and } l \in Loc\}}_{\downarrow}$

$pres(X) \wedge (\exists Y, Y') (\eta(l_{src})[G, Y] \wedge \rho[G, Y, G', Y'])$



# Theorem: Reflection Soundness

Let  $\eta$  be an inductive assertion map for  $\text{REFLECT}_\Pi(\eta)$ ,  
then for each location  $l$  of  $\Pi$ ,

$\eta(l)$  is a **1-index invariant**

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$\eta(l)$  is a **1-index invariant**

- ▶ What about  $k$ -index invariants?

Start from  $\underbrace{\Pi \times \cdots \times \Pi}_{k}$

To obtain an assertion map  $\eta_k : Loc \times \cdots \times Loc \rightarrow_{fin} \Gamma[X]$

# Reflective Abstract Interpretation

- We **iteratively** generate invariants for **parametrized systems**
- As fix point of a **monotone operator** over an **abstract domain**
- Applying **abstract interpretation** on reflective abstractions

$$\widehat{\eta}^* = \text{lfp } \widehat{\mathcal{F}}(\perp, \Sigma)$$

# Reflective Abstract Interpretation

- We consider 3 schemas:

- **Lazy:**

$$\widehat{\eta}_{\text{LAZY}}^* = \text{lfp } \widehat{\mathcal{G}}_{L,\Pi}(\perp)$$

$$\widehat{\mathcal{G}}_{L,\Pi}(\widehat{\eta}) \stackrel{\text{def}}{=} \text{lfp } \widehat{\mathcal{F}}(\perp, \text{REFLECT}_\Pi(\gamma \circ \widehat{\eta}))$$

- **Eager:**

$$\widehat{\eta}_{\text{EAGER}}^* = \text{lfp } \widehat{\mathcal{G}}_{E,\Pi}(\perp)$$

$$\widehat{\mathcal{G}}_{E,\Pi}(\widehat{\eta}) \stackrel{\text{def}}{=} \widehat{\mathcal{F}}(\widehat{\eta}, \text{REFLECT}_\Pi(\gamma \circ \widehat{\eta}))$$

- **Eager+:**

$$\widehat{\eta}_{\text{EAGER+}}^* = \text{lfp } \widehat{\mathcal{G}}_{E^+,\Pi}(\perp)$$

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# Reflective Abstraction vs. Interference Abstraction

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- ▶ Consider the following **example**:

```
global Int g ≥ 0;  
  
Thread P {  
    local Int x = 0;  
    1:   ⟨await(g > 0); x := g; g := 0; ⟩  
    2:   x := x + 1  
    3:   g := x  
    4: }
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# Reflective Abstraction vs. Interference Abstraction

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2:   x := x + 1
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```
4: }
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- ▶ For the MIRROR, considering transition 3, we have:

$$(\exists x) \quad \eta(l_3) \wedge g' = x$$

# Reflective Abstraction vs. Interference Abstraction

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```

```
local Int x = 0;
```

```
1:   ⟨await(g > 0); x := g; g := 0; ⟩
```

```
2:   x := x + 1
```

```
3:   g := x
```

```
4: }
```

- ▶ For the MIRROR, considering transition 3, we have:

$$(\exists x) \underbrace{\eta(l_3)}_{\text{Interference Abstraction}} \wedge g' = x$$



Interference Abstraction

Reflective Abstraction

# Reflective Abstraction vs. Interference Abstraction

- ▶ Consider the following example:

```
global Int g ≥ 0;
```

```
Thread P {
```

```
local Int x = 0;
```

```
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Reflective Abstraction

over approximates from True



fails to derive  $g \geq 0$

(a non-deterministic update to  $g$ )

# Reflective Abstraction vs. Interference Abstraction

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```
4: }
```

- ▶ For the MIRROR, considering transition 3, we have:

$$(\exists x) \underbrace{\eta(l_3)}_{\text{Interference Abstraction}} \wedge g' = x$$



## Interference Abstraction

over approximates from **True**



fails to derive  $g \geq 0$   
(a non-deterministic update to  $g$ )

## Reflective Abstraction

over approximates from **False**



incrementally infers invs over  $x$   
(derives  $g \geq 0$ )

# Empirical Evaluation

- ▶ We study these **examples** (2-75 locs., 6-24 vars., 4-49 trans.):
  - ▶ Simple software barrier
  - ▶ Centralized software barrier
  - ▶ WORKSTEALING
  - ▶ Generalized dinning philosophers
  - ▶ Robot swarm moving on a  $m \times n$  grid

# Empirical Evaluation

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  - ▶ Simple software barrier
  - ▶ Centralized software barrier
  - ▶ WORKSTEALING
  - ▶ Generalized dinning philosophers
  - ▶ Robot swarm moving on a  $m \times n$  grid
- ▶ We **compare 4 schemes**:
  - ▶ Lazy
  - ▶ Eager
  - ▶ Eager+
  - ▶ Interference

# Empirical Evaluation

## ► Results:

ID	Dom	Prps	Lazy			Eager			Eager+			Interf.		
			Time	Wid*	Prp	Time	Wid	Prp	Time	Wid	Prp	Time	Wid	Prp
Tbar	I	4	0.1	2	0	0.1	5	0	0.1	5	0	0.1	4	0
	P		0.2	4	4	0.1	5	4	0.1	5	4	0.1	4	4
	O		0.8	3	3	0.1	5	3	0.1	5	3	0.1	4	3
Wsteal	I	5	0.3	6	2	0.1	5	1	0.1	5	1	0.1	4	0
	P		2.4	6	1	0.1	7	1	0.2	7	3	0.1	7	5
	O		8.2	6	4	7.5	6	4	0.2	6	4	6.2	5	4
Cbar	I	9	0.9	3	4	0.1	7	0	0.1	8	0	0.1	7	0
	P		TO	0		1.7	11	4	2.7	12	5	1.1	10	6
	O		TO	0		7.5	9	6	11.3	9	6	6.2	8	4
Phil	I	14	1.9	4	2	0.1	8	2	0.1	8	2	0.1	7	0
	P		11.8	6	14	1.1	11	8	1.8	11	8	6.3	13	14
	O		TO	0		25	12	4	40	12	4	20	12	4
Rb(2,2)	I	16	31.3	8	4	0.4	10	4	0.4	11	4	0.2	10	0
	P		TO	0		9.3	22	3	15	23	3	5.8	15	4
	O		TO	0		142	25	3	225	26	3	105	18	3
Rb(2,3)	I	18	133	8	6	0.7	10	6	0.9	11	6	0.5	10	0
	P		TO	0		23	22	5	36.8	23	5	16	15	5
	O		TO	0		404	25	5	629	26	5	320	18	5
Rb(3,3)	I	23	1141	8	9	1.6	10	9	2.1	11	9	0.9	10	0
	P		TO	0		68.2	22	8	111.5	23	8	52	15	8
	O		TO	0		1414	25	8	2139	26	8	1168	18	8
Rb(4,4)	I	29	TO	0		6.7	11	16	9.4	11	16	3.2	11	0
	P		TO	0		49	23	15	396	23	15	303	15	15
	O		TO	0		TO	0	TO	0	TO	0	TO	0	0

# Empirical Evaluation

## ► Results:

ID	Dom	Prps	Lazy			Eager			Eager+			Interf.		
			Time	Wid*	Prp	Time	Wid	Prp	Time	Wid	Prp	Time	Wid	Prp
Tbar	I	4	0.1	2	0	0.1	5	0	0.1	5	0	0.1	4	0
	P		0.2	4	4	0.1	5	4	0.1	5	4	0.1	4	4
	O		0.8	3	3	0.1	5	3	0.1	5	3	0.1	4	3
Wsteal	I	5	0.3	6	2	0.1	5	1	0.1	5	1	0.1	4	0
	P		2.4	6	1	0.1	7	1	0.2	7	3	0.1	7	5
	O		8.2	6	4	7.5	6	4	0.2	6	4	6.2	5	4
Cbar	I	9	0.9	3	4	0.1	7	0	0.1	8	0	0.1	7	0
	P		TO	0		1.7	11	4	2.7	12	5	1.1	10	6
	O		TO	0		7.5	9	6	11.3	9	6	6.2	8	4
Phil	I	14	1.9	4	2	0.1	8	2	0.1	8	2	0.1	7	0
	P		11.8	6	14	1.1	11	8	1.8	11	8	6.3	13	14
	O		TO	0		25	12	4	40	12	4	20	12	4
Rb(2,2)	I	16	31.3	8	4	0.4	10	4	0.4	11	4	0.2	10	0
	P		TO	0		9.3	22	3	15	23	3	5.8	15	4
	O		TO	0		142	25	3	225	26	3	105	18	3
Rb(2,3)	I	18	133	8	6	0.7	10	6	0.9	11	6	0.5	10	0
	P		TO	0		23	22	5	36.8	23	5	16	15	5
	O		TO	0		404	25	5	629	26	5	320	18	5
Rb(3,3)	I	23	1141	8	9	1.6	10	9	2.1	11	9	0.9	10	0
	P		TO	0		68.2	22	8	111.5	23	8	52	15	8
	O		TO	0		1414	25	8	2139	26	8	1168	18	8
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	P		TO	0		49	23	15	396	23	15	303	15	15
	O		TO	0		TO	0		TO	0		TO	0	

Still interference abstraction is the fastest

# Empirical Evaluation

## ► Results:

ID	Dom	Prps	Lazy			Eager			Eager+			Interf.		
			Time	Wid*	Prp	Time	Wid	Prp	Time	Wid	Prp	Time	Wid	Prp
Tbar	I	4	0.1	2	0	0.1	5	0	0.1	5	0	0.1	4	0
	P		0.2	4	4	0.1	5	4	0.1	5	4	0.1	4	4
	O		0.8	3	3	0.1	5	3	0.1	5	3	0.1	4	3
Wsteal	I	5	0.3	6	2	0.1	5	1	0.1	5	1	0.1	4	0
	P		2.4	6	1	0.1	7	1	0.2	7	3	0.1	7	5
	O		8.2	6	4	7.5	6	4	0.2	6	4	6.2	5	4
Cbar	I	9	0.9	3	4	0.1	7	0	0.1	8	0	0.1	7	0
	P		TO	0		1.7	11	4	2.7	12	5	1.1	10	6
	O		TO	0		7.5	9	6	11.3	9	6	6.2	8	4
Phil	I	14	1.9	4	2	0.1	8	2	0.1	8	2	0.1	7	0
	P		11.8	6	14	1.1	11	8	1.8	11	8	6.3	13	14
	O		TO	0		25	12	4	40	12	4	20	12	4
Rb(2,2)	I	16	31.3	8	4	0.4	10	4	0.4	11	4	0.2	10	0
	P		TO	0		9.3	22	3	15	23	3	5.8	15	4
	O		TO	0		142	25	3	225	26	3	105	18	3
Rb(2,3)	I	18	133	8	6	0.7	10	6	0.9	11	6	0.5	10	0
	P		TO	0		23	22	5	36.8	23	5	16	15	5
	O		TO	0		404	25	5	629	26	5	320	18	5
Rb(3,3)	I	23	1141	8	9	1.6	10	9	2.1	11	9	0.9	10	0
	P		TO	0		68.2	22	8	111.5	23	8	52	15	8
	O		TO	0		1414	25	8	2139	26	8	1168	18	8
Rb(4,4)	I	29	TO	0		6.7	11	16	9.4	11	16	3.2	11	0
	P		TO	0		49	23	15	396	23	15	303	15	15
	O		TO	0		TO	0	TO	TO	0	TO	TO	0	0

**Lazy** abstraction was the **slowest** (with many TO)

# Empirical Evaluation

## ► Results:

ID	Dom	Time(Lazy)	Time(Eager)	Time(Eager+)	Time(Interf)
Wsteal	P	2.4	0.1	0.2	0.1
	O	8.2	7.5	0.2	6.2
Cbar	P	TO	1.7	2.7	1.1
	O	TO	7.5	11.3	6.2
Phil	P	11.8	1.1	1.8	6.3
	O	TO	25	40	20
Rb(3,3)	P	TO	68.2	111.5	52
	O	TO	1414	2139	1168

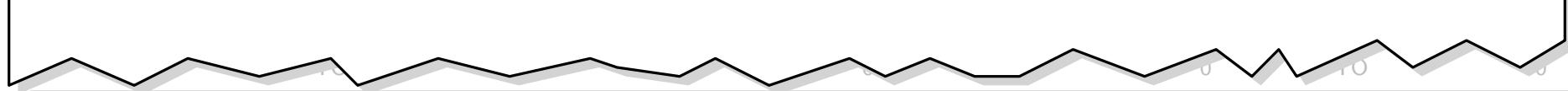


**Polyhedra** in general results faster than **octagons**  
(possibly due to Apron library)

# Empirical Evaluation

## ► Results:

ID	Dom	Prps	Prp(Lazy)	Prp(Eager)	Prp(Eager+)	Prp(Interf)
Wsteal	I	5	2	1	1	0
	P		1	1	3	5
Cbar	I	9	4	0	0	0
	P		0	4	5	6
Phil	I	14	2	2	2	0
	P		14	8	8	14
Rb(4,4)	I	29	0	16	16	0
	P		0	15	15	15



Interference infers **more properties in polyhedra**,

# Empirical Evaluation

## ► Results:

ID	Dom	Prps	Prp(Lazy)	Prp(Eager)	Prp(Eager+)	Prp(Interf)
Wsteal	I	5	2	1	1	0
	P		1	1	3	5
Cbar	I	9	4	0	0	0
	P		0	4	5	6
Phil	I	14	2	2	2	0
	P		14	8	8	14
Rb(4,4)	I	29	0	16	16	0
	P		0	15	15	15



Interference infers **more properties in polyhedra**,  
but **less in intervals**

# Empirical Evaluation

## ► Relative strengths of inferred invariants

ID	Dom	L:E	L:E+	L:In	E:In	E+:In	E:E+
Tbar	I	-	-	+	+	+	=
	P	=	=	+	+	+	=
	O	=	=	+	+	+	=
Wsteal	I	+	+	+	+	+	=
	P	+	≠	≠	≠	≠	-
	O	=	=	+	+	+	=
Cbar	I	≠	≠	+	+	+	=
	P	TO	TO	TO	≠	≠	-
	O	TO	TO	TO	+	+	=
Phil	I	+	+	+	+	+	=
	P	+	+	+	-	-	=
	O	TO	TO	TO	+	+	=
Rb(2,2)	I	+	+	+	+	+	=
	P	TO	TO	TO	≠	≠	-
	O	TO	TO	TO	≠	+	-
Rb(2,3)	I	+	+	+	+	+	=
	P	TO	TO	TO	≠	≠	-
	O	TO	TO	TO	≠	+	-
Rb(3,3)	I	+	+	+	+	+	=
	P	TO	TO	TO	≠	≠	-
	O	TO	TO	TO	≠	+	-
Rb(4,4)	I	TO	TO	TO	+	+	=
	P	TO	TO	TO	≠	≠	-
	O	TO	TO	TO	TO	TO	TO

# Empirical Evaluation

- ▶ Relative strengths of inferred invariants

ID	Dom	L:E	L:E+	L:In	E:In	E+:In	E:E+
Tbar	I	—	—	+ + +			=
	P	=	=	+ + +			=
	O	=	=	+ + +			=
Wsteal	I	+	+	+ + +			=
	P	+	≠	≠ ≠ ≠			—
	O	=	=	+ + +			=
Cbar	I	≠	≠	+ + +			=
	P	TO	TO	TO ≠ ≠			—
	O	TO	TO	TO + +			=
Phil	I	+	+	+ + +			=
	P	+	+	+ — —			=
	O	TO	TO	TO + +			=
Rb(2,2)	I	+	+	+ + +			=
	P	TO	TO	TO ≠ ≠			—
	O	TO	TO	TO ≠ +			—
Rb(2,3)	I	+	+	+ + +			=
	P	TO	TO	TO ≠ ≠			—
	O	TO	TO	TO ≠ +			—
Rb(3,3)	I	+	+	+ + +			=
	P	TO	TO	TO ≠ ≠			—
	O	TO	TO	TO ≠ +			—
Rb(4,4)	I	TO	TO	TO + +			=
	P	TO	TO	TO ≠ ≠			—
	O	TO	TO	TO TO TO			TO

Lazy, eager and eager+ prove stronger invariants  
for interval domain compared to interference

# Empirical Evaluation

- ▶ Relative strengths of inferred invariants

ID	Dom	L:E	L:E+	L:In	E:In	E+:In	E:E+
Tbar	I	—	—	+	+	+	=
	P	=	=	+	+	+	=
	O	=	=	+	+	+	=
Wsteal	I	+	+	+	+	+	=
	P	+	≠	≠	≠	≠	—
	O	=	=	+	+	+	=
Cbar	I	≠	≠	+	+	+	=
	P	TO	TO	TO	≠	≠	—
	O	TO	TO	TO	+	+	=
Phil	I	+	+	+	+	+	=
	P	+	+	+ —	—	—	=
	O	TO	TO	TO	+	+	=
Rb(2,2)	I	+	+	+	+	+	=
	P	TO	TO	TO	≠	≠	—
	O	TO	TO	TO	≠	+	—
Rb(2,3)	I	+	+	+	+	+	=
	P	TO	TO	TO	≠	≠	—
	O	TO	TO	TO	≠	+	—
Rb(3,3)	I	+	+	+	+	+	=
	P	TO	TO	TO	≠	≠	—
	O	TO	TO	TO	≠	+	—
Rb(4,4)	I	TO	TO	TO	+	+	=
	P	TO	TO	TO	≠	≠	—
	O	TO	TO	TO	TO	TO	TO

Trend is **reversed** for **polyhedra domain**

# Conclusions

- ▶ We presented a new technique: **Reflective Abstraction**
- ▶ Helpful to **infer  $k$ -indexed invariants** on **parametrized systems**
- ▶ Enables leveraging **off-the-shelf** invariant generators
- ▶ We studied three variants of **reflective abstraction**, analyzing their relative strength in inferring invariants
- ▶ Possible future directions, study the possibility of **additional structure** on the summarized threads