

# **Solving Constrained Horn Clauses by Property Directed Reachability**

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**HCVS 2017: 4<sup>th</sup> Workshop on Horn Clauses for  
Verification and Synthesis**



# Automated Verification

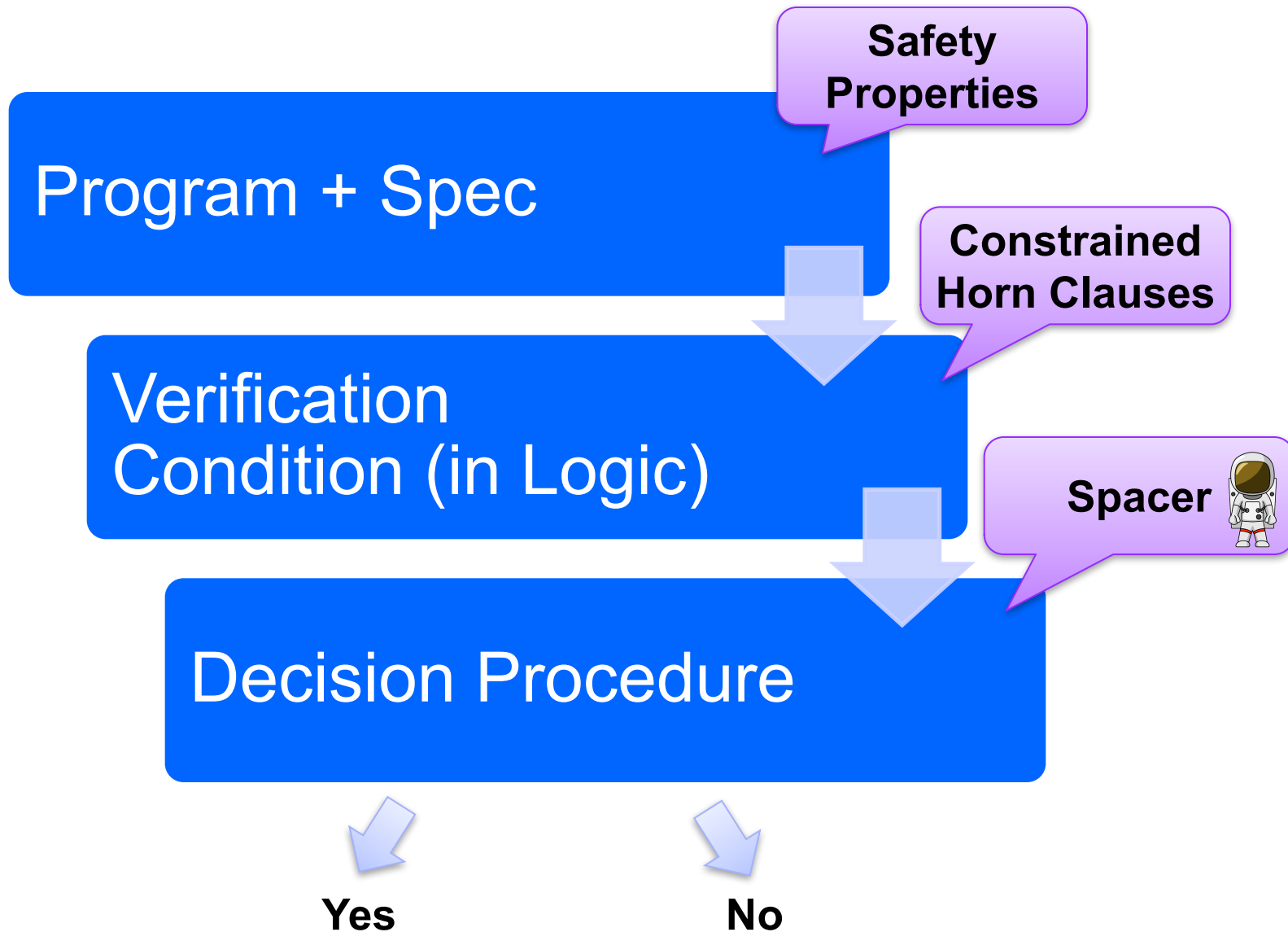
## Deductive Verification

- A user provides a program and a verification certificate
  - e.g., inductive invariant, pre- and post-conditions, function summaries, etc.
- A tool automatically checks validity of the certificate
  - this is not easy! (might even be undecidable)
- Verification is manual but machine certified

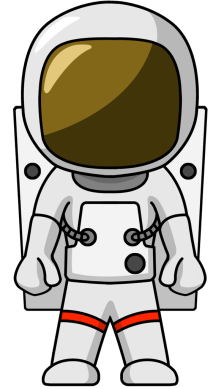
## Algorithmic Verification (My research area)

- A user provides a program and a desired specification
  - e.g., program never writes outside of allocated memory
- A tool automatically checks validity of the specification
  - and generates a verification certificate if the program is correct
  - and generates a counterexample if the program is not correct
- Verification is completely automatic – “push-button”

# Algorithmic Logic-Based Verification



# Spacer: Solving SMT-constrained CHC



Spacer: a solver for SMT-constrained Horn Clauses

- now part of Z3
  - <https://github.com/Z3Prover/z3> since commit 72c4780
  - use option `fixedpoint.engine=spacer`
- development version at <http://bitbucket.org/spacer/code>

Supported SMT-Theories

- Best-effort support for many SMT-theories
  - data-structures, bit-vectors, non-linear arithmetic
- Linear Real and Integer Arithmetic
- Quantifier-free theory of arrays
- *Universally quantified theory of arrays + arithmetic (work in progress)*

Support for Non-Linear CHC

- for procedure summaries in inter-procedural verification conditions
- for compositional reasoning: abstraction, assume-guarantee, thread modular, etc.

# Contributors

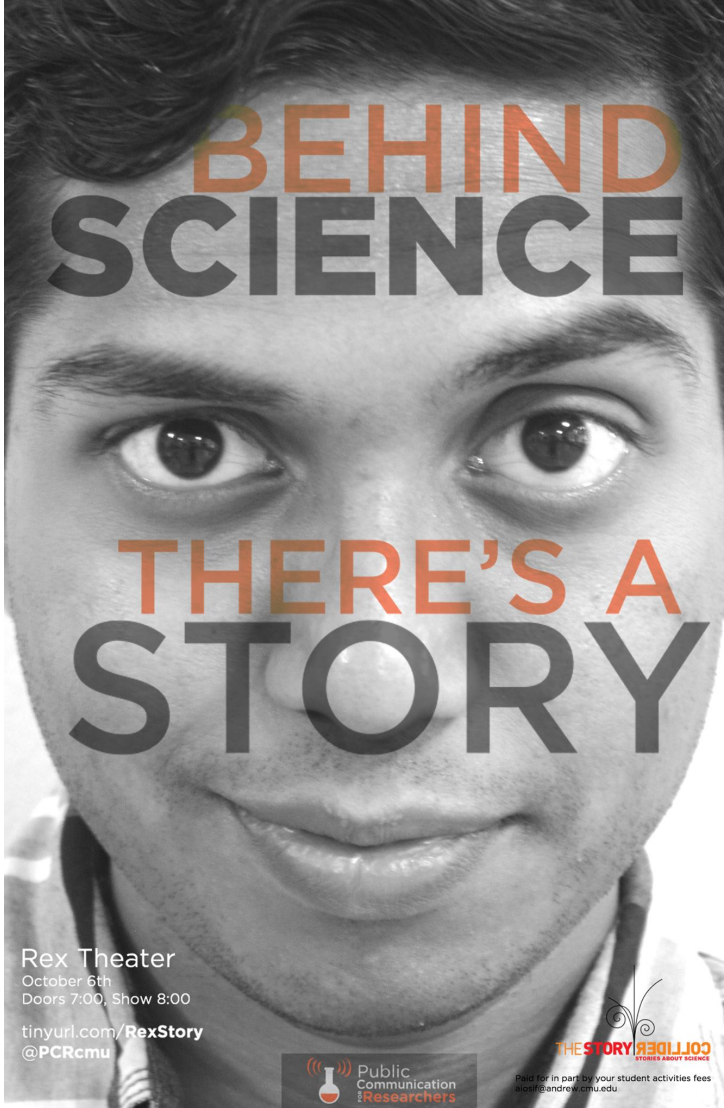
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Nikolaj Bjorner  
(Krystof Hoder)

Yakir Vizel

Bernhard Gleiss

Matteo Marescotti



BEHIND  
SCIENCE

THERE'S A  
STORY

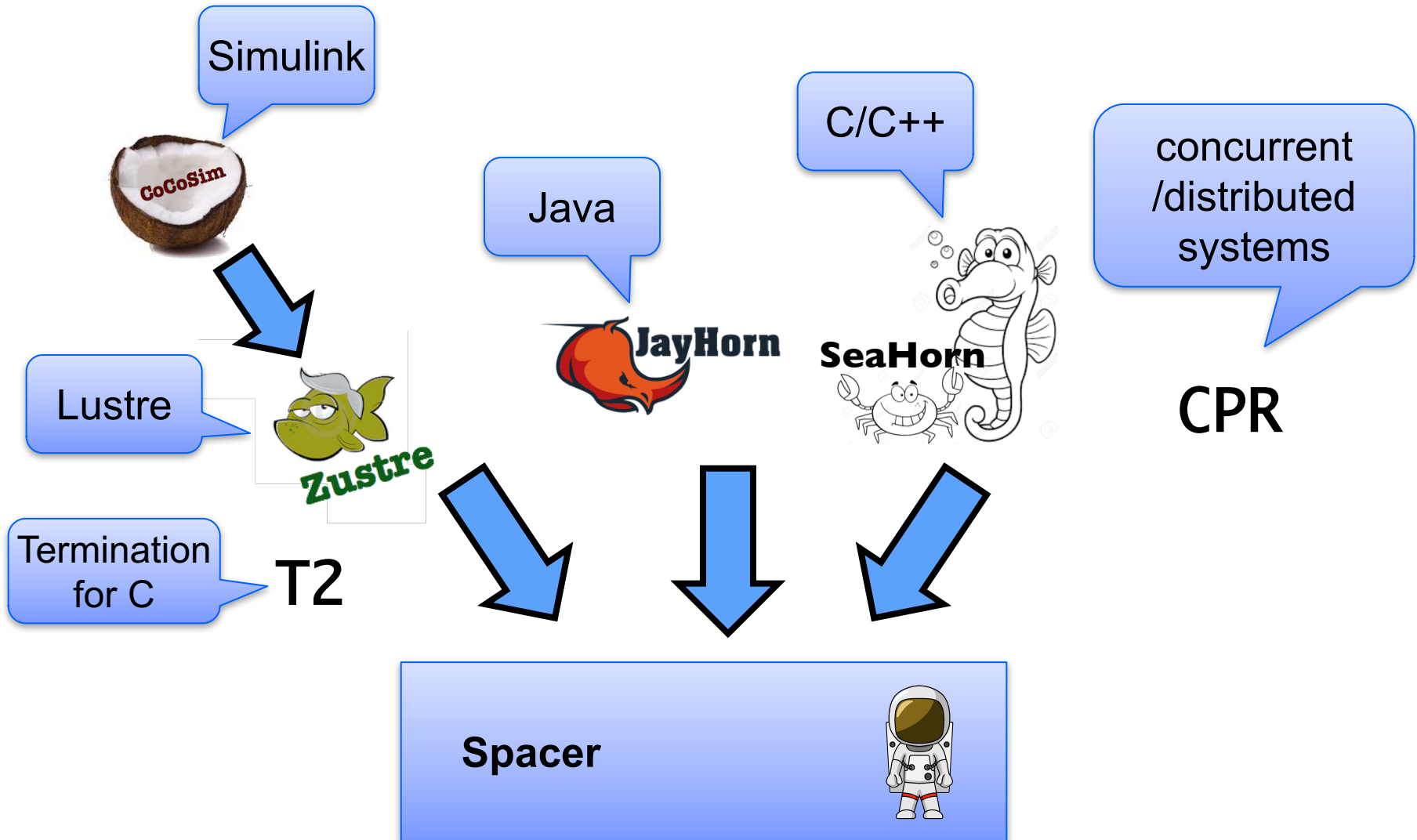
Rex Theater  
October 6th  
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# Logic-based Algorithmic Verification



# Constrained Horn Clauses (CHC)

A Constrained Horn Clause (CHC) is a FOL formula of the form

$$\forall V . (\phi \wedge p_1[X_1] \wedge \dots \wedge p_n[X_n] \rightarrow h[X]),$$

where

- $A$  is a background theory (e.g., Linear Arithmetic, Arrays, Bit-Vectors, or combinations of the above)
- $\phi$  is a constrained in the background theory  $A$
- $p_1, \dots, p_n, h$  are  $n$ -ary predicates
- $p_i[X]$  is an application of a predicate to first-order terms

# CHC Satisfiability

A **model** of a set of clauses  $\Pi$  is an interpretation of each predicate  $p_i$  that makes all clauses in  $\Pi$  valid

A set of clauses is **satisfiable** if it has a model, and is unsatisfiable otherwise

Given a theory  $A$ , a model  $M$  is **A-definable**, if each  $p_i$  in  $M$  is definable by a formula  $\psi_i$  in  $A$

In the context of program verification

- a program satisfies a property iff corresponding CHCs are satisfiable
- verification certificates correspond to models
- counterexamples correspond to derivations of false



# IC3, PDR, and Friends (1)

## IC3: A SAT-based Hardware Model Checker

- Incremental Construction of Inductive Clauses for Indubitable Correctness
- A. Bradley: SAT-Based Model Checking without Unrolling. VMCAI 2011

## PDR: Explained and extended the implementation

- Property Directed Reachability
- N. Eén, A. Mishchenko, R. K. Brayton: Efficient implementation of property directed reachability. FMCAD 2011

## PDR with Predicate Abstraction (easy extension of IC3/PDR to SMT)

- A. Cimatti, A. Griggio, S. Mover, St. Tonetta: IC3 Modulo Theories via Implicit Predicate Abstraction. TACAS 2014
- J. Birgmeier, A. Bradley, G. Weissenbacher: Counterexample to Induction-Guided Abstraction-Refinement (CTIGAR). CAV 2014

# IC3, PDR, and Friends (2)

## GPDR: Non-Linear CHC with Arithmetic constraints

- Generalized Property Directed Reachability
- K. Hoder and N. Bjørner: Generalized Property Directed Reachability. SAT 2012

## SPACER: Non-Linear CHC with Arithmetic

- fixes an incompleteness issue in GPDR and extends it with under-approximate summaries
- A. Komuravelli, A. Gurfinkel, S. Chaki: SMT-Based Model Checking for Recursive Programs. CAV 2014

## PolyPDR: Convex models for Linear CHC

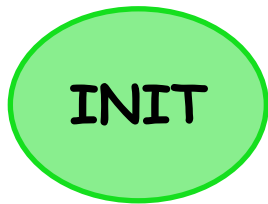
- simulating Numeric Abstract Interpretation with PDR
- N. Bjørner and A. Gurfinkel: Property Directed Polyhedral Abstraction. VMCAI 2015

## ArrayPDR: CHC with constraints over Arithmetic + Arrays

- Required to model heap manipulating programs
- A. Komuravelli, N. Bjørner, A. Gurfinkel, K. L. McMillan: Compositional Verification of Procedural Programs using Horn Clauses over Integers and Arrays. FMCAD 2015

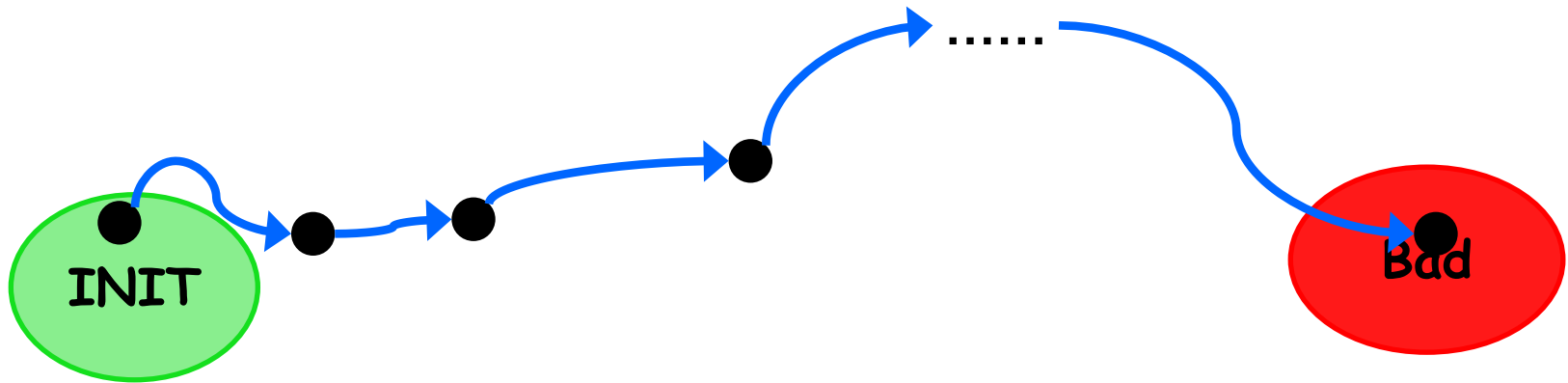
# Safety Verification Problem

Is Bad reachable?



# Safety Verification Problem

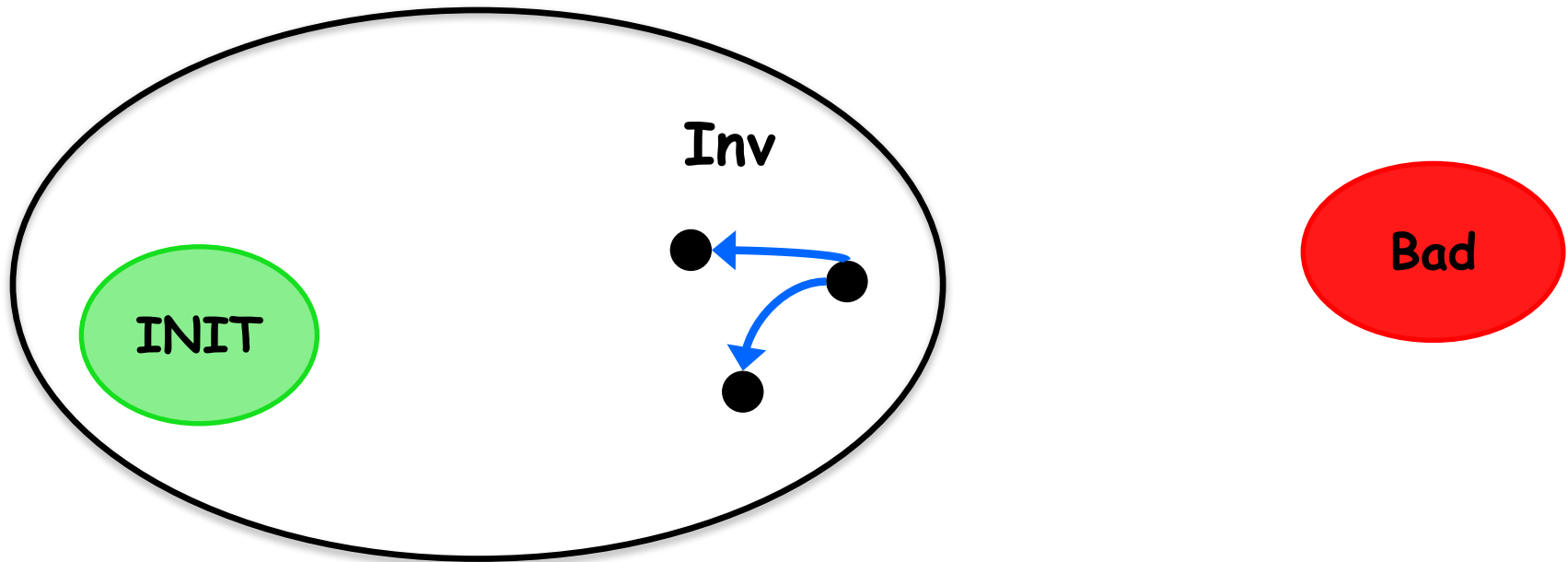
Is Bad reachable?



Yes. There is a counterexample!

# Safety Verification Problem

Is Bad reachable?



No. There is an inductive invariant

# Programs, Cexs, Invariants

A program  $P = (V, Init, Tr, Bad)$

- Notation:  $\mathcal{F}(X) = \exists \mathbf{u} . (X \wedge Tr) \vee Init$

$P$  is UNSAFE if and only if there exists a number  $N$  s.t.

$$Init(X_0) \wedge \left( \bigwedge_{i=0}^{N-1} Tr(X_i, X_{i+1}) \right) \wedge Bad(X_N) \not\Rightarrow \perp$$

$P$  is SAFE if and only if there exists a *safe inductive invariant*  $Inv$  s.t.

$$\left. \begin{array}{l} Init \Rightarrow Inv \\ Inv(X) \wedge Tr(X, X') \Rightarrow Inv(X') \\ Inv \Rightarrow \neg Bad \end{array} \right\} \begin{array}{l} \text{Inductive} \\ \text{Safe} \end{array}$$

# IC3/PDR Overview

bounded  
safety

**Input:** Safety problem  $\langle \text{Init}(X), \text{Tr}(X, X'), \text{Bad}(X) \rangle$

$F_0 \leftarrow \text{Init}; N \leftarrow 0$  **repeat**

**G**  $\leftarrow$  PDRMKSAFE( $[F_0, \dots, F_N], \text{Bad}$ )

**if** **G** =  $[\ ]$  **then return** *Reachable*;

$\forall 0 \leq i \leq N \cdot F_i \leftarrow \mathbf{G}[i]$

$F_0, \dots, F_N \leftarrow$  PDRPUSH( $[F_0, \dots, F_N]$ )

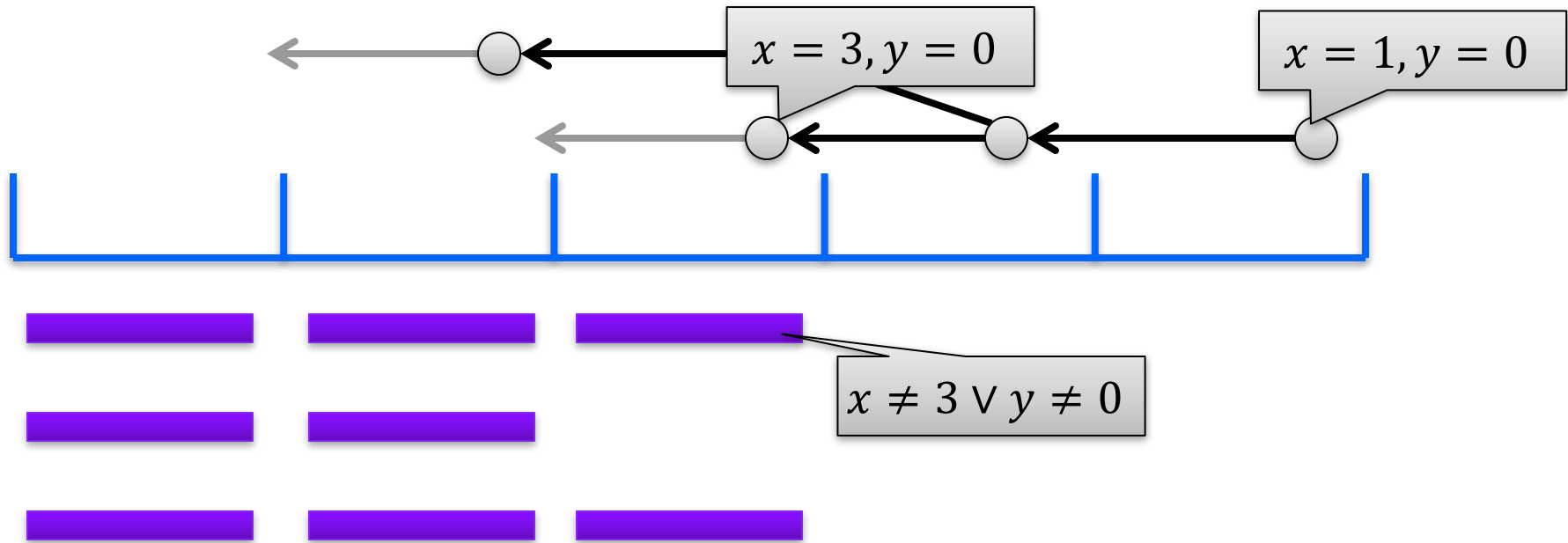
**if**  $\exists 0 \leq i < N \cdot F_i = F_{i+1}$  **then return** *Unreachable*;

$N \leftarrow N + 1; F_N \leftarrow \emptyset$

**until**  $\infty$ ;

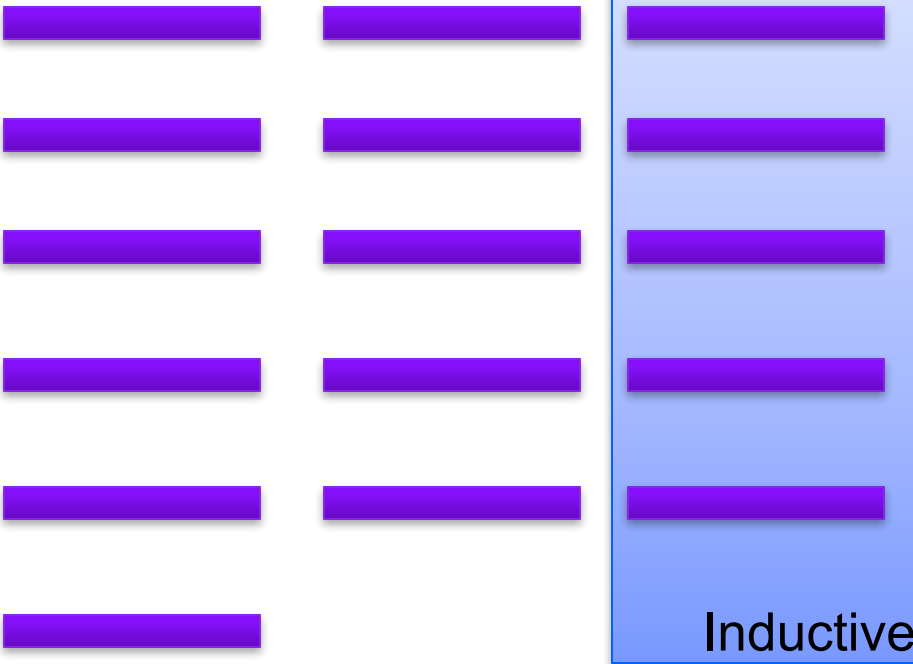
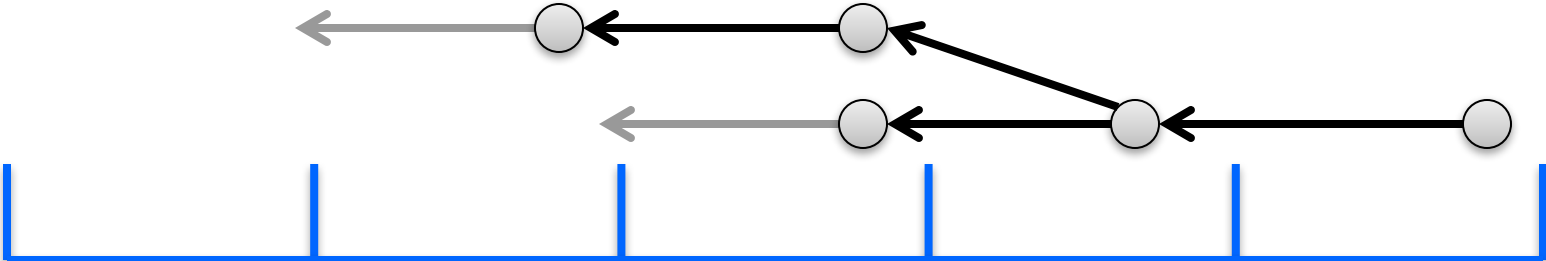
strengthen  
result

# IC3/PDR In Pictures: MkSafe





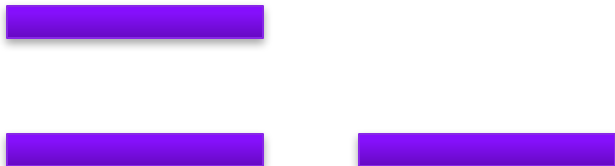
# IC3/PDR in Pictures: Push



**Algorithm Invariants**

$F_i \rightarrow \neg \text{Bad}$      $\text{Init} \rightarrow F_i$

$F_i \rightarrow F_{i+1}$      $F_i \wedge Tr \rightarrow F_{i+1}$



# IC3/PDR: Solving Linear (Propositional) CHC

## Unreachable and Reachable

- terminate the algorithm when a solution is found

## Unfold

- increase search bound by 1

## Candidate

- choose a bad state in the last frame

## Decide

- extend a cex (backward) consistent with the current frame
- choose an assignment  $\mathbf{s}$  s.t.  $(\mathbf{s} \wedge R_i \wedge Tr \wedge cex')$  is SAT

## Conflict

- construct a lemma to explain why cex cannot be extended
- Find a clause  $L$  s.t.  $L \Rightarrow \neg cex$ ,  $Init \Rightarrow L$ , and  $L \wedge R_i \wedge Tr \Rightarrow L'$

## Induction

- propagate a lemma as far into the future as possible
- (optionally) strengthen by dropping literals

# Decide Rule: Generalizing Predecessors

**Decide** If  $\langle m, i + 1 \rangle \in Q$  and there are  $m_0$  and  $m_1$  s.t.  $m_1 \rightarrow m$ ,  $m_0 \wedge m'_1$  is satisfiable, and  $m_0 \wedge m'_1 \rightarrow F_i \wedge Tr \wedge m'$ , then add  $\langle m_0, i \rangle$  to  $Q$ .

**Decide** rule chooses a (generalized) predecessor  $m_0$  of  $m$  that is consistent with the current frame

Simplest implementation is to extract a predecessor  $m_0$  from a satisfying assignment of  $M \models F_i \wedge Tr \wedge m'$

- $m_0$  can be further generalized using ternary simulation by dropping literals and checking that  $m'$  remains forced

An alternative is to let  $m_0$  be an implicant (not necessarily prime) of  $F_i \wedge \exists X'. (Tr \wedge m')$

- finding a prime implicant is difficult because of the existential quantification
- we settle for an arbitrary implicant. The side conditions ensure it is not trivial

# Conflict Rule: Inductive Generalization

**Conflict** For  $0 \leq i < N$ : given a candidate model  $\langle m, i + 1 \rangle \in Q$  and clause  $\varphi$ , such that  $\varphi \rightarrow \neg m$ , if  $Init \rightarrow \varphi$ , and  $\varphi \wedge F_i \wedge Tr \rightarrow \varphi'$ , then add  $\varphi$  to  $F_j$ , for  $j \leq i + 1$ .

A clause  $\varphi$  is inductive relative to  $F$  iff

- $Init \rightarrow \varphi$  (Initialization) and  $\varphi \wedge F \wedge Tr \rightarrow \varphi$  (Inductiveness)

Implemented by first letting  $\varphi = \neg m$  and generalizing  $\varphi$  by iteratively dropping literals while checking the inductiveness condition

**Theorem:** Let  $F_0, F_1, \dots, F_N$  be a valid IC3 trace. If  $\varphi$  is inductive relative to  $F_i$ ,  $0 \leq i < N$ , then, for all  $j \leq i$ ,  $\varphi$  is inductive relative to  $F_j$ .

- Follows from the monotonicity of the trace
  - if  $j < i$  then  $F_j \rightarrow F_i$
  - if  $F_j \rightarrow F_i$  then  $(\varphi \wedge F_i \wedge Tr \rightarrow \varphi) \rightarrow (\varphi \wedge F_j \wedge Tr \rightarrow \varphi)$

# From Propositional PDR to Solving CHC

## Infinite Theories

- infinitely many satisfying assignments
- can't simply enumerate (in decide)
- can't block one assignment at a time (in conflict)

## Non-Linear Horn Clauses

- multiple predecessors (in decide)

The problem is undecidable in general, but we want an algorithm that makes progress

- don't get stuck in a decidable fragment

# PDR FOR ARITHMETIC CHC

# IC3/PDR: Solving Linear (Propositional) CHC

## Unreachable and Reachable

- terminate the algorithm when a solution is found

## Unfold

- increase search bound by 1

## Candidate

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## Decide

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## Induction

- propagate a lemma as far into the future as possible
- (optionally) strengthen by dropping literals

Theory  
dependent

$$((F_i \wedge Tr) \vee Init') \Rightarrow \varphi'$$

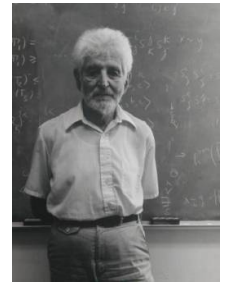
$$\varphi' \Rightarrow \neg c'$$

Looking for  $\varphi'$

# ARITHMETIC CONFLICT



# Craig Interpolation Theorem



**Theorem** (Craig 1957)

Let  $A$  and  $B$  be two First Order (FO) formulae such that  $A \Rightarrow \neg B$ , then there exists a FO formula  $I$ , denoted  $ITP(A, B)$ , such that

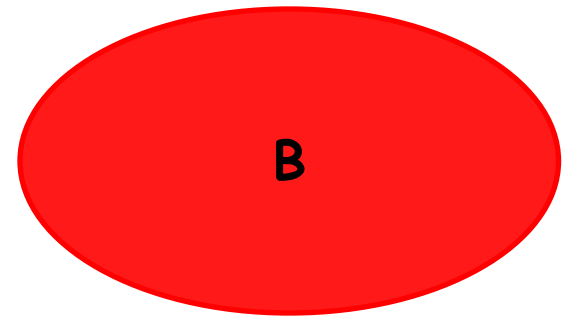
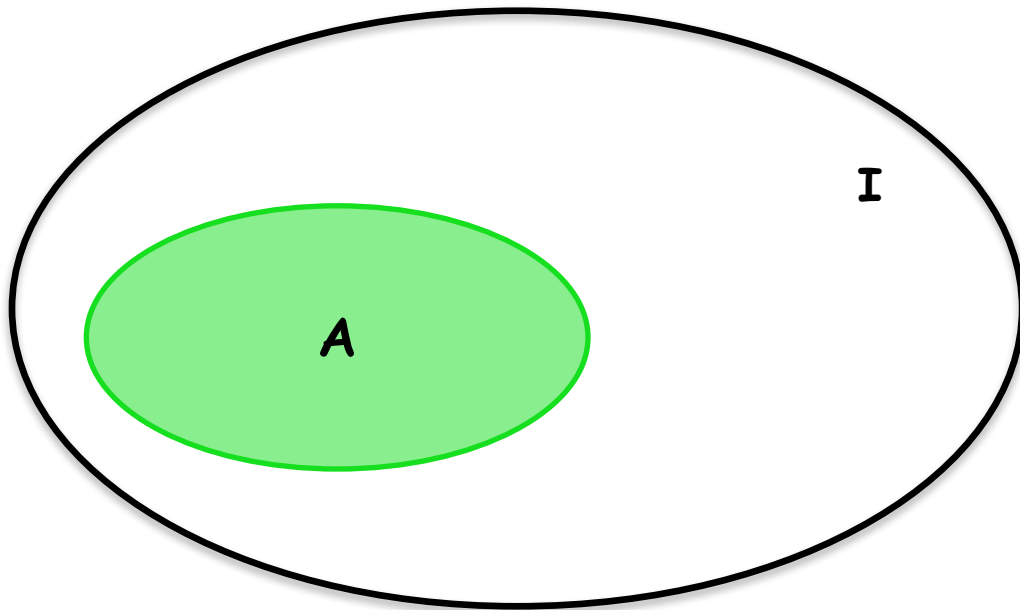
$$A \Rightarrow I \quad I \Rightarrow \neg B$$

$$atoms(I) \in atoms(A) \cap atoms(B)$$

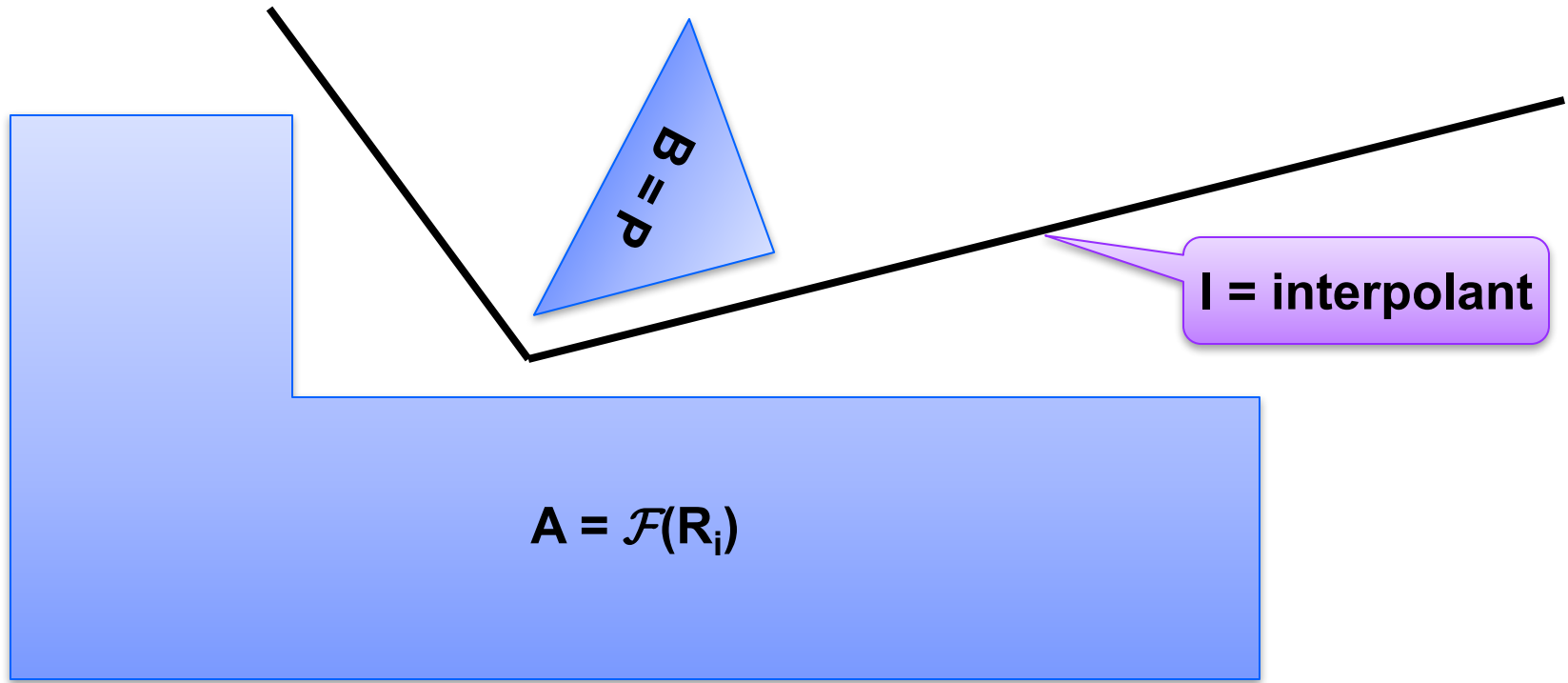
A Craig interpolant  $ITP(A, B)$  can be effectively constructed from a resolution proof of unsatisfiability of  $A \wedge B$

In Model Checking, Craig Interpolation Theorem is used to safely over-approximate the set of (finitely) reachable states

# Craig Interpolant



# Craig Interpolation for Linear Arithmetic



Useful properties of existing interpolation algorithms [CGS10] [HB12]

- $I \in \text{ITP}(A, B)$  then  $\neg I \in \text{ITP}(B, A)$
- if  $A$  is syntactically convex (a monomial), then  $I$  is convex
- if  $B$  is syntactically convex, then  $I$  is co-convex (a clause)
- if  $A$  and  $B$  are syntactically convex, then  $I$  is a half-space

# Arithmetic Conflict

**Notation:**  $\mathcal{F}(A) = (A(X) \wedge Tr) \vee Init(X')$ .

**Conflict** For  $0 \leq i < N$ , given a counterexample  $\langle P, i + 1 \rangle \in Q$  s.t.  $\mathcal{F}(F_i) \wedge P'$  is unsatisfiable, add  $P^\uparrow = \text{ITP}(\mathcal{F}(F_i), P')$  to  $F_j$  for  $j \leq i + 1$ .

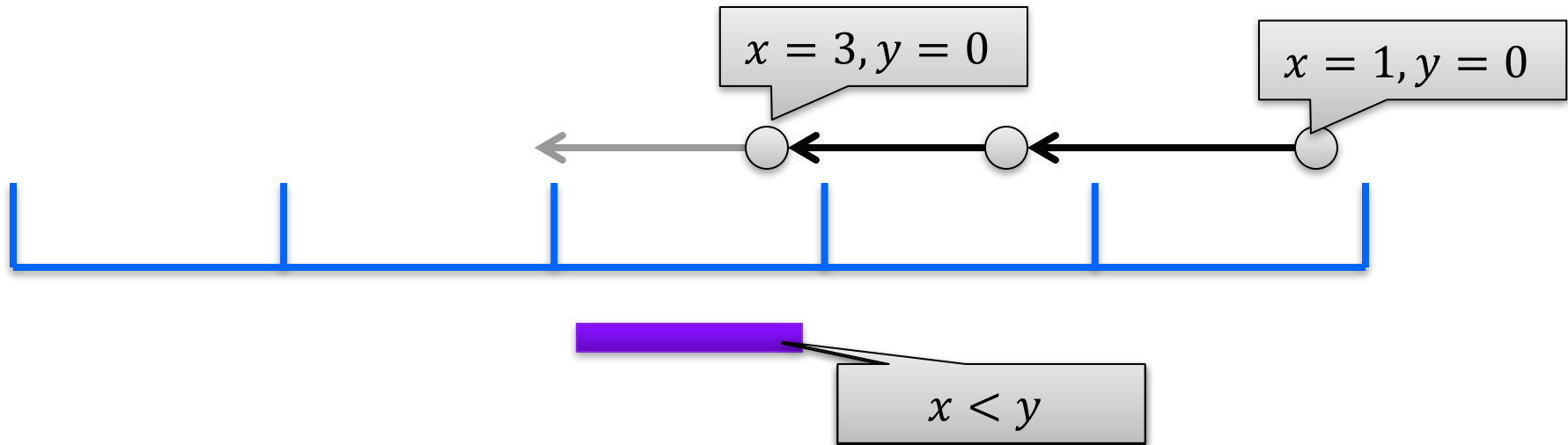
Counterexample is blocked using Craig Interpolation

- summarizes the reason why the counterexample cannot be extended

Generalization is not inductive

- weaker than IC3/PDR
- inductive generalization for arithmetic is still an open problem

# IC3/PDR In Pictures: MkSafe



# Computing Interpolants for IC3/PDR

Much simpler than general interpolation problem for  $A \wedge B$

- B is always a conjunction of literals
- A is dynamically split into DNF by the SMT solver
- DPLL(T) proofs do not introduce new literals

Interpolation algorithm is reduced to analyzing all theory lemmas in a DPLL(T) proof produced by the solver

- every theory-lemma that mixes B-pure literals with other literals is interpolated to produce a single literal in the final solution
- interpolation is restricted to clauses of the form  $(\wedge B_i \Rightarrow \vee A_j)$

Interpolating (UNSAT) Cores (*ongoing work with Bernhard Gleiss*)

- improve interpolation algorithms and definitions to the specific case of PDR
- classical interpolation focuses on eliminating non-shared literals
- in PDR, the focus is on finding good generalizations

$$s \subseteq pre(c)$$

$$\equiv s \Rightarrow \exists X' . Tr \wedge c'$$

Computing a predecessor  $s$  of a counterexample  $c$

## **ARITHMETIC DECIDE**

# Model Based Projection

**Definition:** Let  $\varphi$  be a formula,  $U$  a set of variables, and  $M$  a model of  $\varphi$ . Then  $\psi = \text{MBP}(U, M, \varphi)$  is a Model Based Projection of  $U$ ,  $M$  and  $\varphi$  iff

1.  $\psi$  is a monomial
2.  $\text{Vars}(\psi) \subseteq \text{Vars}(\varphi) \setminus U$
3.  $M \models \psi$
4.  $\psi \Rightarrow \exists U . \varphi$

Model Based Projection under-approximates existential quantifier elimination relative to a given model (i.e., satisfying assignment)



# Loos-Weispfenning Quantifier Elimination

$\varphi$  is LRA formula in Negation Normal Form

$E$  is set of  $x=t$  atoms,  $U$  set of  $x < t$  atoms, and  $L$  set of  $s < x$  atoms

There are no other occurrences of  $x$  in  $\varphi[x]$

$$\exists x. \varphi[x] \equiv \varphi[\infty] \vee \bigvee_{x=t \in E} \varphi[t] \vee \bigvee_{x < t \in U} \varphi[t - \epsilon]$$

where

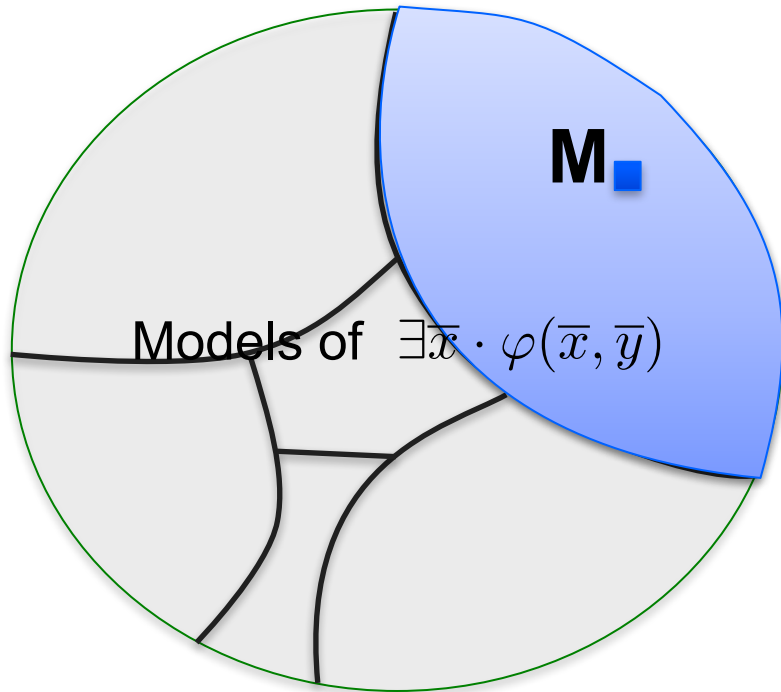
$$(x < t')[t - \epsilon] \equiv t \leq t' \quad (s < x)[t - \epsilon] \equiv s < t \quad (x = e)[t - \epsilon] \equiv \text{false}$$

The case of lower bounds is dual

- using  $-\infty$  and  $t+\epsilon$

# Model Based Projection

Expensive to find a quantifier-free  $\psi(\bar{y}) \equiv \exists \bar{x} \cdot \varphi(\bar{x}, \bar{y})$



1. Find model  $M$  of  $\varphi(x,y)$
2. Compute a partition containing  $M$

# MBP for Linear Rational Arithmetic

Compute a **single** disjunct from LW-QE that includes the model

- Use the Model to uniquely pick a substitution term for  $x$

$$Mbp_x(M, x = s \wedge L) = L[x \leftarrow s]$$

$$Mbp_x(M, x \neq s \wedge L) = Mbp_x(M, s < x \wedge L) \text{ if } M(x) > M(s)$$

$$Mbp_x(M, x \neq s \wedge L) = Mbp_x(M, -s < -x \wedge L) \text{ if } M(x) < M(s)$$

$$Mbp_x(M, \bigwedge_i s_i < x \wedge \bigwedge_j x < t_j) = \bigwedge_i s_i < t_0 \wedge \bigwedge_j t_0 \leq t_j \text{ where } M(t_0) \leq M(t_i), \forall i$$

MBP techniques have been developed for

- Linear Rational Arithmetic, Linear Integer Arithmetic
- Theories of Arrays, and Recursive Data Types

# Arithmetic Decide

**Notation:**  $\mathcal{F}(A) = (A(X) \wedge Tr(X, X') \vee Init(X'))$ .

**Decide** If  $\langle P, i + 1 \rangle \in Q$  and there is a model  $m(X, X')$  s.t.  $m \models \mathcal{F}(F_i) \wedge P'$ ,  
add  $\langle P_{\downarrow}, i \rangle$  to  $Q$ , where  $P_{\downarrow} = MBP(X', m, \mathcal{F}(F_i) \wedge P')$ .

Compute a predecessor using an under-approximation of quantifier elimination – called Model Based Projection

To ensure progress, Decide must be finite

- finitely many possible predecessors when all other arguments are fixed

Alternatives

- Completeness can follow from the **Conflict** rule only
  - for Linear Arithmetic this means using Fourier-Motzkin implicants
- Completeness can follow from an interaction of **Decide** and **Conflict**

# PDR FOR NON-LINEAR CHC

# Non-Linear CHC Satisfiability

Satisfiability of a set of arbitrary (i.e., linear or non-linear) CHCs is reducible to satisfiability of THREE clauses of the form

$$\mathit{Init}(X) \rightarrow P(X)$$

$$P(X) \rightarrow \mathit{!Bad}(X)$$

$$P(X) \wedge P(X^o) \wedge \mathit{Tr}(X, X^o, X') \rightarrow P(X')$$

where,  $X' = \{x' \mid x \in X\}$ ,  $X^o = \{x^o \mid x \in X\}$ ,  $P$  a fresh predicate, and  $\mathit{Init}$ ,  $\mathit{Bad}$ , and  $\mathit{Tr}$  are constraints

# Generalized GPDR

**Input:** A safety problem  $\langle \text{Init}(X), \text{Tr}(X, X^o, X'), \text{Bad}(X) \rangle$ .

**Output:** *Unreachable* or *Reachable*

**Data:** A cex queue  $Q$ , where a cex  $\langle c_0, \dots, c_k \rangle \in Q$  is a tuple, each  $c_j = \langle m, i \rangle$ ,  $m$  is a cube over state variables, and  $i \in \mathbb{N}$ . A level  $N$ .  
A trace  $F_0, F_1, \dots$

**Notation:**  $\mathcal{F}(A, B) = \text{Init}(X') \vee (A(X) \wedge B(X^o) \wedge \text{Tr})$ , and  $\mathcal{F}(A) = \mathcal{F}(A, A)$

**Initially:**  $Q = \emptyset, N = 0, F_0 = \text{Init}, \forall i > 0 \cdot F_i = \emptyset$

**Require:**  $\text{Init} \rightarrow \neg \text{Bad}$

**repeat**

**Unreachable** If there is an  $i < N$  s.t.  $F_i \subseteq F_{i+1}$  **return** *Unreachable*.

**Reachable** if exists  $t \in Q$  s.t. for all  $\langle c, i \rangle \in t, i = 0$ , **return** *Reachable*.

**Unfold** If  $F_N \rightarrow \neg \text{Bad}$ , then set  $N \leftarrow N + 1$  and  $Q \leftarrow \emptyset$ .

**Candidate** If for some  $m, m \rightarrow F_N \wedge \text{Bad}$ , then add  $\langle \langle m, N \rangle \rangle$  to  $Q$ .

**Decide** If there is a  $t \in Q$ , with  $c = \langle m, i + 1 \rangle \in t, m_1 \rightarrow m, l_0 \wedge m_0^o \wedge m'_1$  is satisfiable, and  $l_0 \wedge m_0^o \wedge m'_1 \rightarrow F_i \wedge F_i^o \wedge \text{Tr} \wedge m'$  then add  $\hat{t}$  to  $Q$ , where  $\hat{t} = t$  with  $c$  replaced by two tuples  $\langle l_0, i \rangle$ , and  $\langle m_0, i \rangle$ .

**Conflict** If there is a  $t \in Q$  with  $c = \langle m, i + 1 \rangle \in t$ , s.t.  $\mathcal{F}(F_i) \wedge m'$  is unsatisfiable. Then, add  $\varphi = \text{ITP}(\mathcal{F}(F_i), m')$  to  $F_j$ , for all  $0 \leq j \leq i + 1$ .

**Leaf** If there is  $t \in Q$  with  $c = \langle m, i \rangle \in t, 0 < i < N$  and  $\mathcal{F}(F_{i-1}) \wedge m'$  is unsatisfiable, then add  $\hat{t}$  to  $Q$ , where  $\hat{t}$  is  $t$  with  $c$  replaced by  $\langle m, i + 1 \rangle$ .

**Induction** For  $0 \leq i < N$  and a clause  $(\varphi \vee \psi) \in F_i$ , if  $\varphi \notin F_{i+1}$ ,  $\mathcal{F}(\phi \wedge F_i) \rightarrow \phi'$ , then add  $\varphi$  to  $F_j$ , for all  $j \leq i + 1$ .

**until**  $\infty$ ;

counterexample  
is a tree

two  
predecessors

theory-aware  
**Conflict**

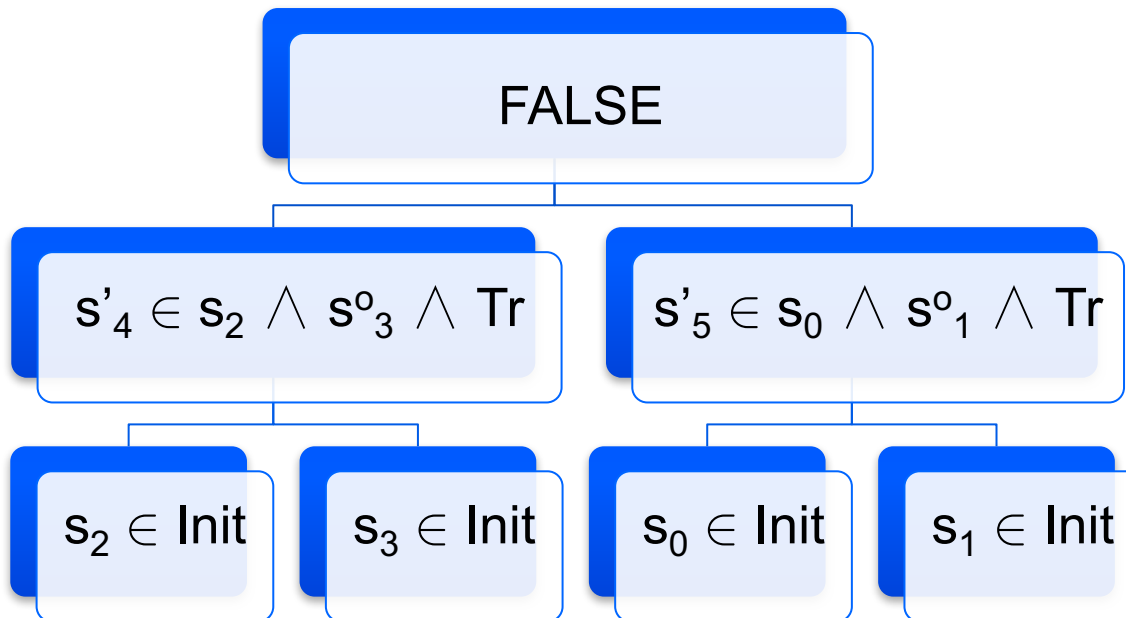
# Counterexamples to non-linear CHC

A set  $S$  of CHC is unsatisfiable iff  $S$  can derive FALSE

- we call such a derivation a counterexample

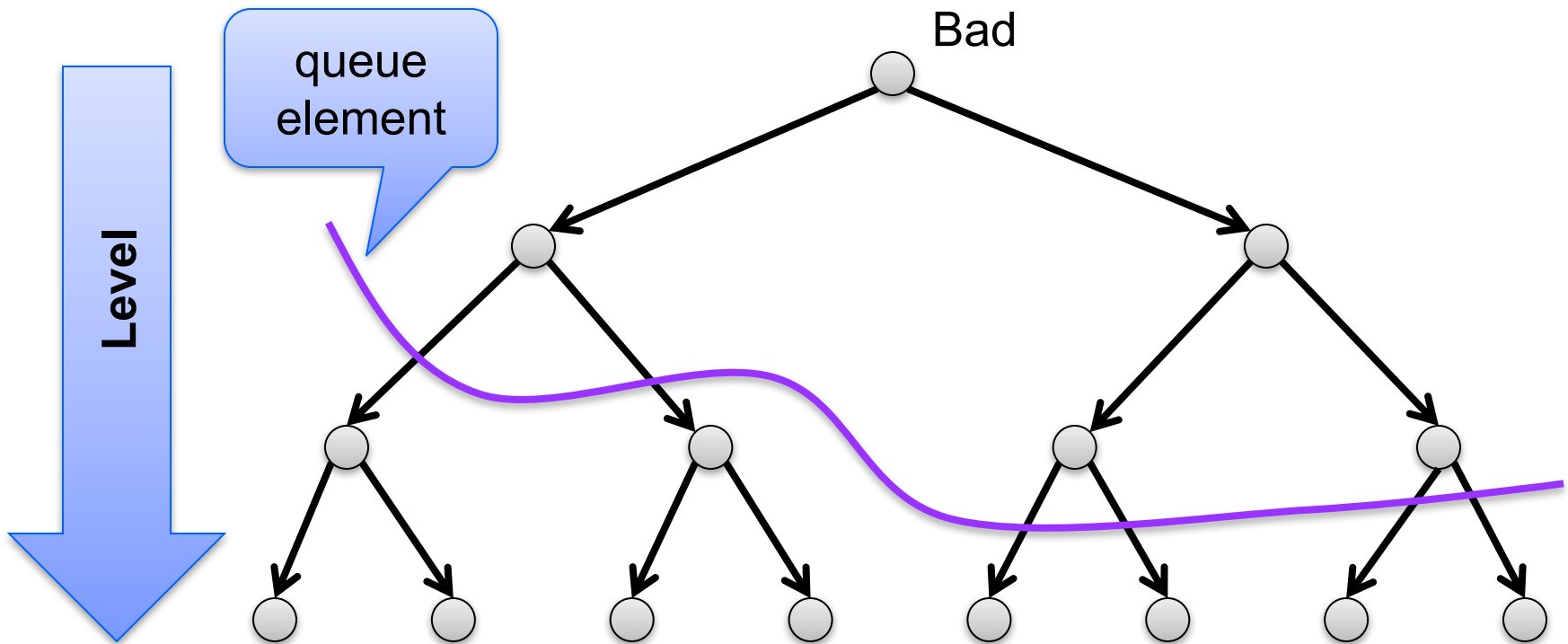
For linear CHC, the counterexample is a path

For non-linear CHC, the counterexample is a tree





# GPDR Search Space



At each step, one CTI in the frontier is chosen and its two children are expanded

# GPDR: Deciding predecessors

**Decide** If there is a  $t \in Q$ , with  $c = \langle m, i + 1 \rangle \in t$ ,  $m_1 \rightarrow m$ ,  $l_0 \wedge m_0^o \wedge m'_1$  is satisfiable, and  $l_0 \wedge m_0^o \wedge m'_1 \rightarrow F_i \wedge F_i^o \wedge Tr \wedge m'$  then add  $\hat{t}$  to  $Q$ , where  $\hat{t} = t$  with  $c$  replaced by two tuples  $\langle l_0, i \rangle$ , and  $\langle m_0, i \rangle$ .

Compute two predecessors at each application of **GPDR/Decide**

Can explore both predecessors in parallel

- e.g., BFS or DFS exploration order

Number of predecessors is unbounded

- incomplete even for finite problem (i.e., non-recursive CHC)

No caching/summarization of previous decisions

- worst-case exponential for Boolean Push-Down Systems

# Spacer

Same queue as  
in IC3/PDR

Cache Reachable  
states

Three variants of  
**Decide**

Same **Conflict** as  
in APDR/GPDR

**Input:** A safety problem  $\langle \text{Init}(X), \text{Tr}(X, X^o, X'), \text{Bad}(X) \rangle$ .

**Output:** *Unreachable* or *Reachable*

**Data:** A cex queue  $Q$ , where a cex  $c \in Q$  is a pair  $\langle m, i \rangle$ ,  $m$  is a cube over state variables, and  $i \in \mathbb{N}$ . A level  $N$ . A set of reachable states REACH. A trace  $F_0, F_1, \dots$

**Notation:**  $\mathcal{F}(A, B) = \text{Init}(X') \vee (A(X) \wedge B(X^o) \wedge \text{Tr})$ , and  $\mathcal{F}(A) = \mathcal{F}(A, A)$

**Initially:**  $Q = \emptyset, N = 0, F_0 = \text{Init}, \forall i > 0 \cdot F_i = \emptyset, \text{REACH} = \text{Init}$

**Require:**  $\text{Init} \rightarrow \neg \text{Bad}$

**repeat**

**Unreachable** If there is an  $i < N$  s.t.  $F_i \subseteq F_{i+1}$  **return** *Unreachable*.

**Reachable** If  $\text{REACH} \wedge \text{Bad}$  is satisfiable, **return** *Reachable*.

**Unfold** If  $F_N \rightarrow \neg \text{Bad}$ , then set  $N \leftarrow N + 1$  and  $Q \leftarrow \emptyset$ .

**Candidate** If for some  $m, m \rightarrow F_N \wedge \text{Bad}$ , then add  $\langle m, N \rangle$  to  $Q$ .

**Successor** If there is  $\langle m, i + 1 \rangle \in Q$  and a model  $M \models \psi$ , where  $\psi = \mathcal{F}(\vee \text{REACH}) \wedge m'$ . Then, add  $s$  to REACH, where  $s' \in \text{MBP}(\{X, X^o\}, \psi)$ .

**DecideMust** If there is  $\langle m, i + 1 \rangle \in Q$ , and a model  $M \models \psi$ , where  $\psi = \mathcal{F}(F_i, \vee \text{REACH}) \wedge m'$ . Then, add  $s$  to  $Q$ , where  $s \in \text{MBP}(\{X^o, X'\}, \psi)$ .

**DecideMay** If there is  $\langle m, i + 1 \rangle \in Q$  and a model  $M \models \psi$ , where  $\psi = \mathcal{F}(F_i) \wedge m'$ . Then, add  $s$  to  $Q$ , where  $s^o \in \text{MBP}(\{X, X'\}, \psi)$ .

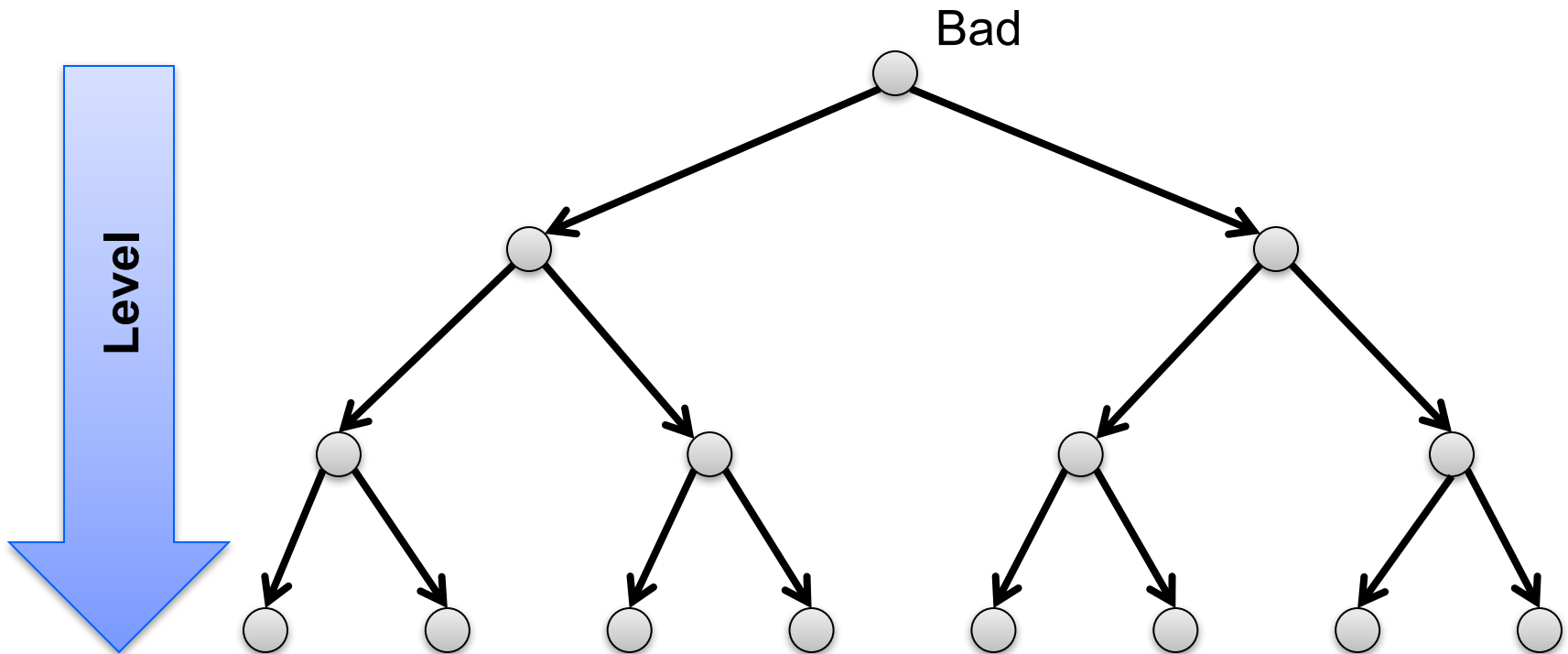
**Conflict** If there is an  $\langle m, i + 1 \rangle \in Q$ , s.t.  $\mathcal{F}(F_i) \wedge m'$  is unsatisfiable. Then, add  $\varphi = \text{ITP}(\mathcal{F}(F_i), m')$  to  $F_j$ , for all  $0 \leq j \leq i + 1$ .

**Leaf** If  $\langle m, i \rangle \in Q, 0 < i < N$  and  $\mathcal{F}(F_{i-1}) \wedge m'$  is unsatisfiable, then add  $\langle m, i + 1 \rangle$  to  $Q$ .

**Induction** For  $0 \leq i < N$  and a clause  $(\varphi \vee \psi) \in F_i$ , if  $\varphi \notin F_{i+1}$ ,  $\mathcal{F}(\phi \wedge F_i) \rightarrow \phi'$ , then add  $\varphi$  to  $F_j$ , for all  $j \leq i + 1$ .

**until**  $\infty$ ;

# SPACER Search Space



Unfold the derivation tree in a fixed depth-first order

- use MBP to decide on counterexamples

Learn new facts (reachable states) on the way up

- use MBP to propagate facts bottom up

# Successor Rule: Computing Reachable States

**Successor** If there is  $\langle m, i + 1 \rangle \in Q$  and a model  $M \models \psi$ , where  $\psi = \mathcal{F}(\text{REACH}) \wedge m'$ . Then, add  $s$  to REACH, where  $s' \in \text{MBP}(\{X, X^o\}, \psi)$ .

Computing new reachable states by under-approximating forward image using MBP

- since MBP is finite, guarantee to exhaust all reachable states

Second use of MBP

- orthogonal to the use of MBP in Decide
- REACH can contain auxiliary variables, but might get too large

For Boolean CHC, the number of reachable states is bounded

- complexity is polynomial in the number of states
- same as reachability in Push Down Systems

# Decide Rule: Must and May refinement

**DecideMust** If there is  $\langle m, i + 1 \rangle \in Q$ , and a model  $M \models \psi$ , where  $\psi = \mathcal{F}(F_i, \text{VREACH}) \wedge m'$ . Then, add  $s$  to  $Q$ , where  $s \in \text{MBP}(\{X^o, X'\}, \psi)$ .

**DecideMay** If there is  $\langle m, i + 1 \rangle \in Q$  and a model  $M \models \psi$ , where  $\psi = \mathcal{F}(F_i) \wedge m'$ . Then, add  $s$  to  $Q$ , where  $s^o \in \text{MBP}(\{X, X'\}, \psi)$ .

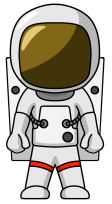
## DecideMust

- use computed summary to skip over a call site

## DecideMay

- use over-approximation of a calling context to guess an approximation of the call-site
- the call-site either refutes the approximation (**Conflict**) or refines it with a witness (**Successor**)

# Conclusion and Future Work



Spacer: an SMT-based procedure for deciding CHC modulo theories

- extends IC3/PDR from SAT to SMT
- interpolation to over-approximate a possible model
- model-based projection to summarize derivations

The curse of interpolation

- interpolation is fantastic at quickly discovering good lemmas
- BUT it is highly unstable: small changes to input (or code) drastically change what is discovered
- what is easy today might be difficult tomorrow ☹️

Harnessing the power of parallelism (see FMCAD'17)

- Spacer is highly non-deterministic: many sound choices for bounded exploration and lemma generation
- Lemmas (invariants) are easy to share between multiple instances
- Problems are naturally partitioned in Decide rule

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# Farkas Lemma

Let  $M = t_1 \geq b_1 \wedge \dots \wedge t_n \geq b_n$ , where  $t_i$  are linear terms and  $b_i$  are constants.  $M$  is *unsatisfiable* iff  $0 \geq 1$  is derivable from  $M$  by resolution

$M$  is *unsatisfiable* iff  $M \vdash 0 \geq 1$

- e.g.,  $x + y > 10, -x > 5, -y > 3 \vdash (x+y-x-y) > (10 + 5 + 3) \vdash 0 > 18$

$M$  is unsatisfiable iff there exist *Farkas coefficients*  $g_1, \dots, g_n$  such that

- $g_i \geq 0$
- $g_1 \times t_1 + \dots + g_n \times t_n = 0$
- $g_1 \times b_1 + \dots + g_n \times b_n \geq 1$

# Interpolation for Linear Real Arithmetic

Let  $M = A \wedge B$  be UNSAT, where

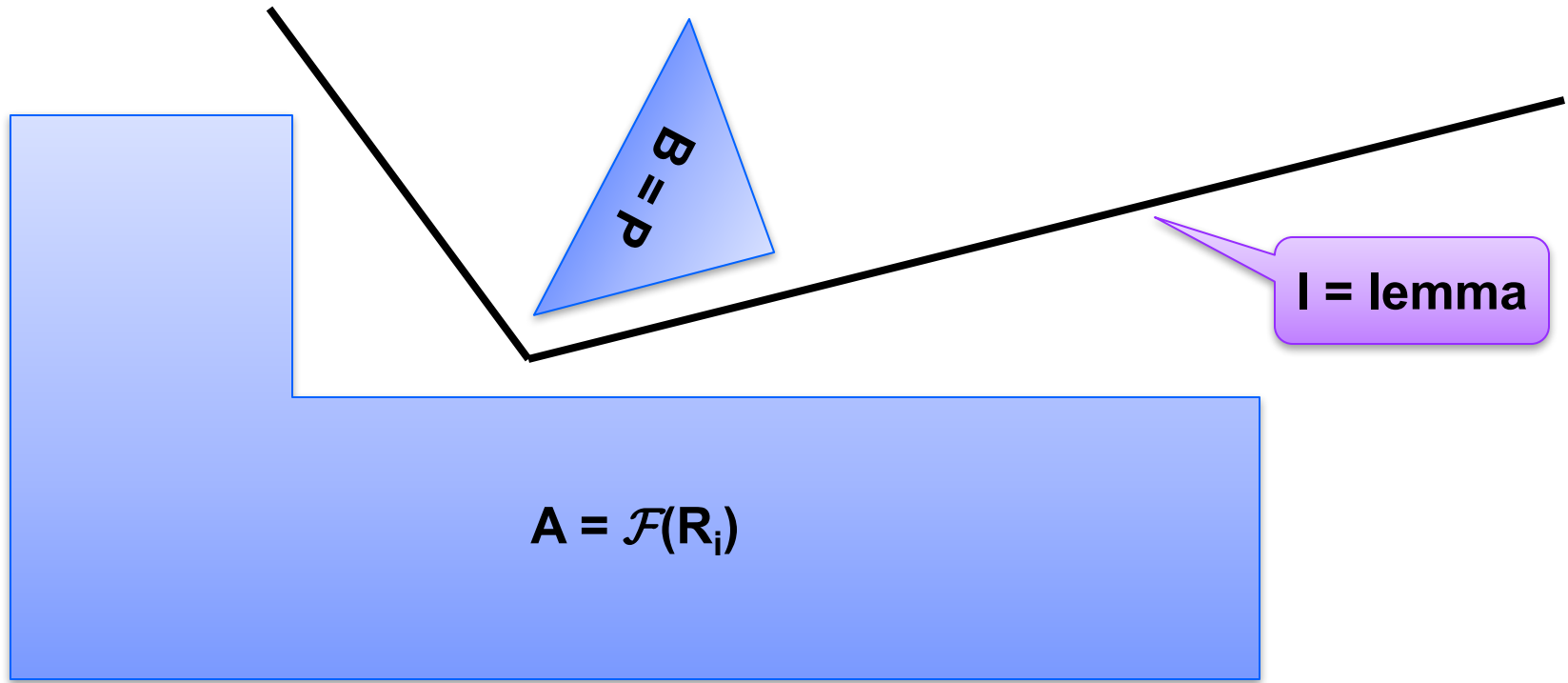
- $A = t_1 \geq b_1 \wedge \dots \wedge t_i \geq b_i$ , and
- $B = t_{i+1} \geq b_{i+1} \wedge \dots \wedge t_n \geq b_n$

Let  $g_1, \dots, g_n$  be the Farkas coefficients witnessing UNSAT

Then

- $g_1 \times (t_1 \geq b_1) + \dots + g_i \times (t_i \geq b_i)$  is an interpolant between A and B
- $g_{i+1} \times (t_{i+1} \geq b_{i+1}) + \dots + g_n \times (t_n \geq b_n)$  is an interpolant between B and A
- $g_1 \times t_1 + \dots + g_i \times t_i = - (g_{i+1} \times t_{i+1} + \dots + g_n \times t_n)$
- $\neg(g_{i+1} \times (t_{i+1} \geq b_{i+1}) + \dots + g_n \times (t_n \geq b_n))$  is an interpolant between A and B

# Craig Interpolation for Linear Arithmetic



Useful properties of existing interpolation algorithms [CGS10] [HB12]

- $I \in \text{ITP}(A, B)$  then  $\neg I \in \text{ITP}(B, A)$
- if  $A$  is syntactically convex (a monomial), then  $I$  is convex
- if  $B$  is syntactically convex, then  $I$  is co-convex (a clause)
- if  $A$  and  $B$  are syntactically convex, then  $I$  is a half-space